

Trustworthy Machine Learning

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Associate Fellow, Leverhulme Center for the Future of Intelligence

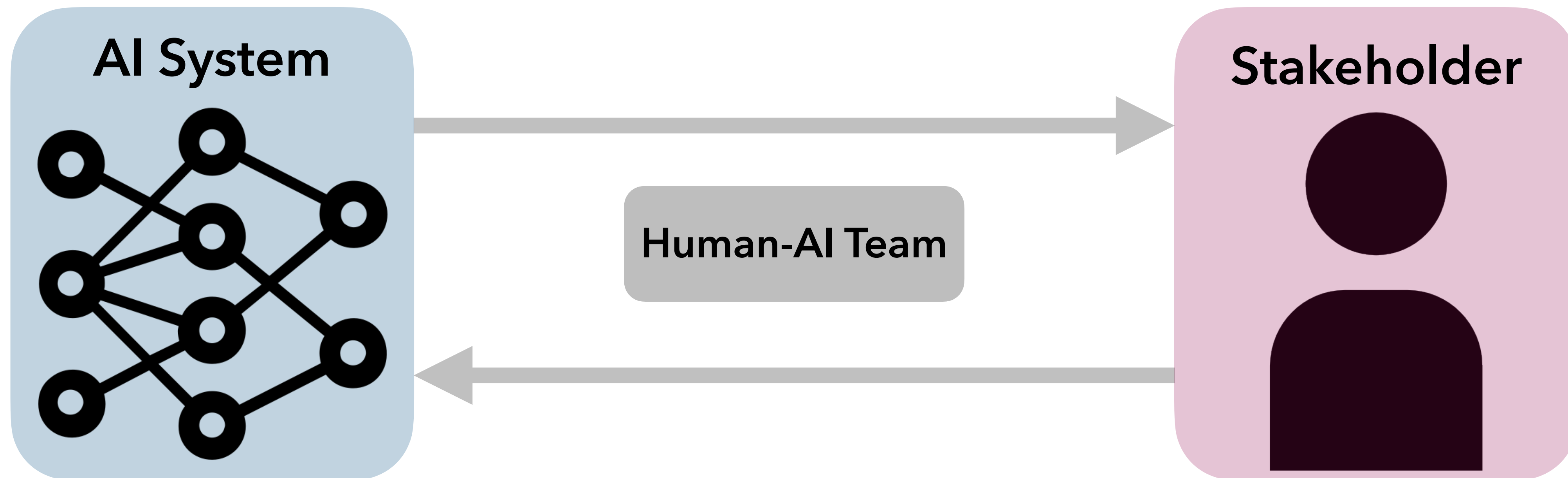
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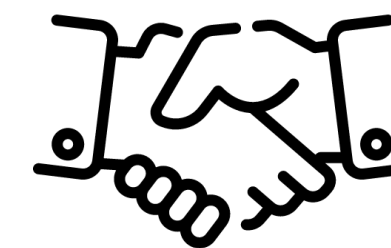
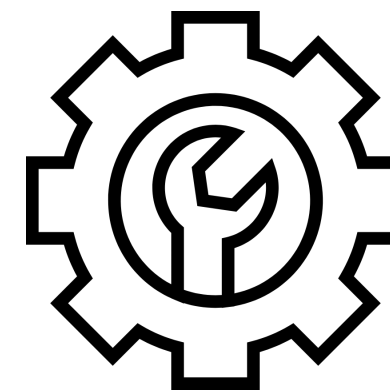
The
Alan Turing
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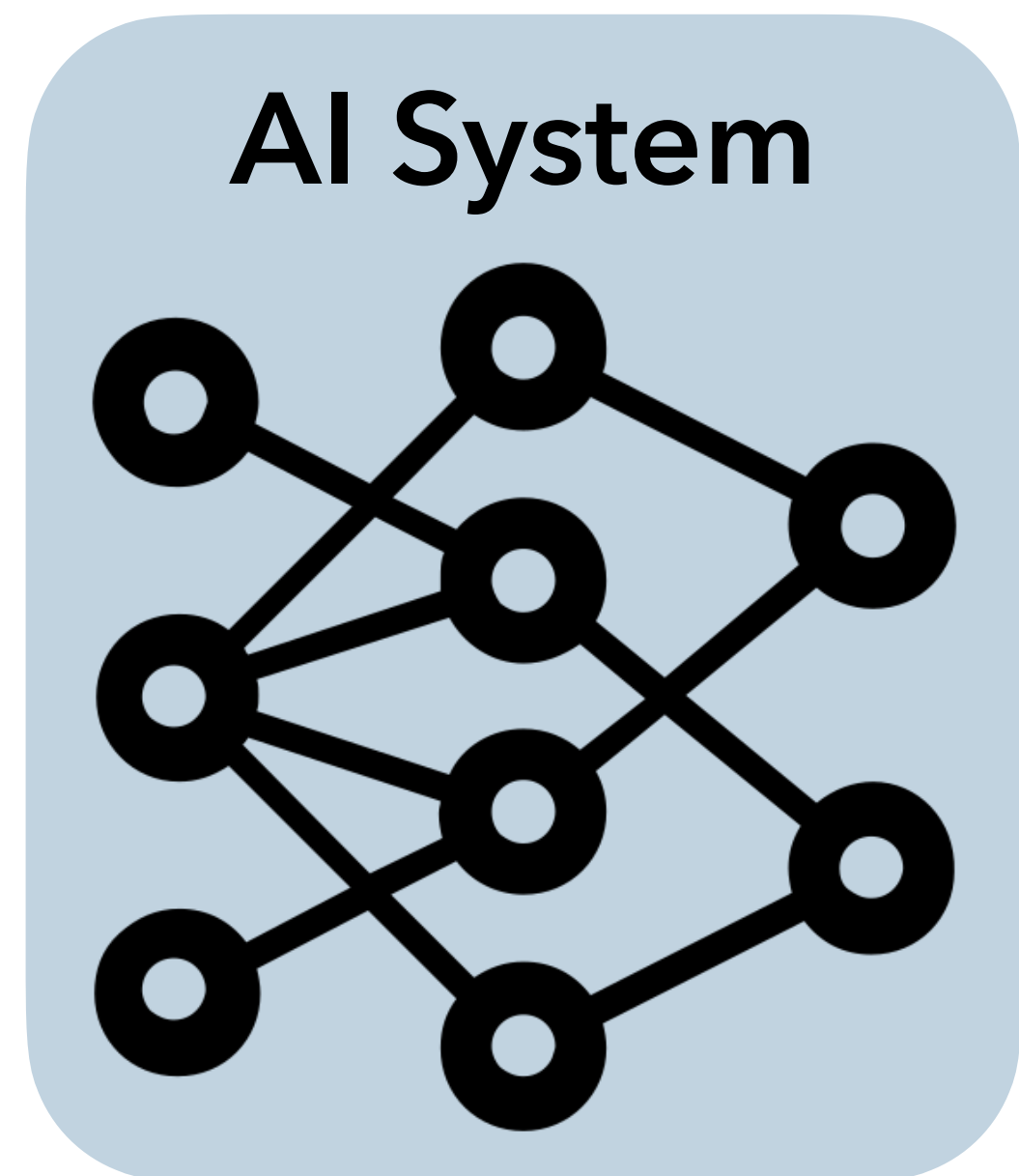




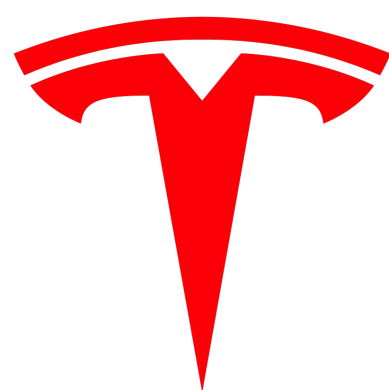
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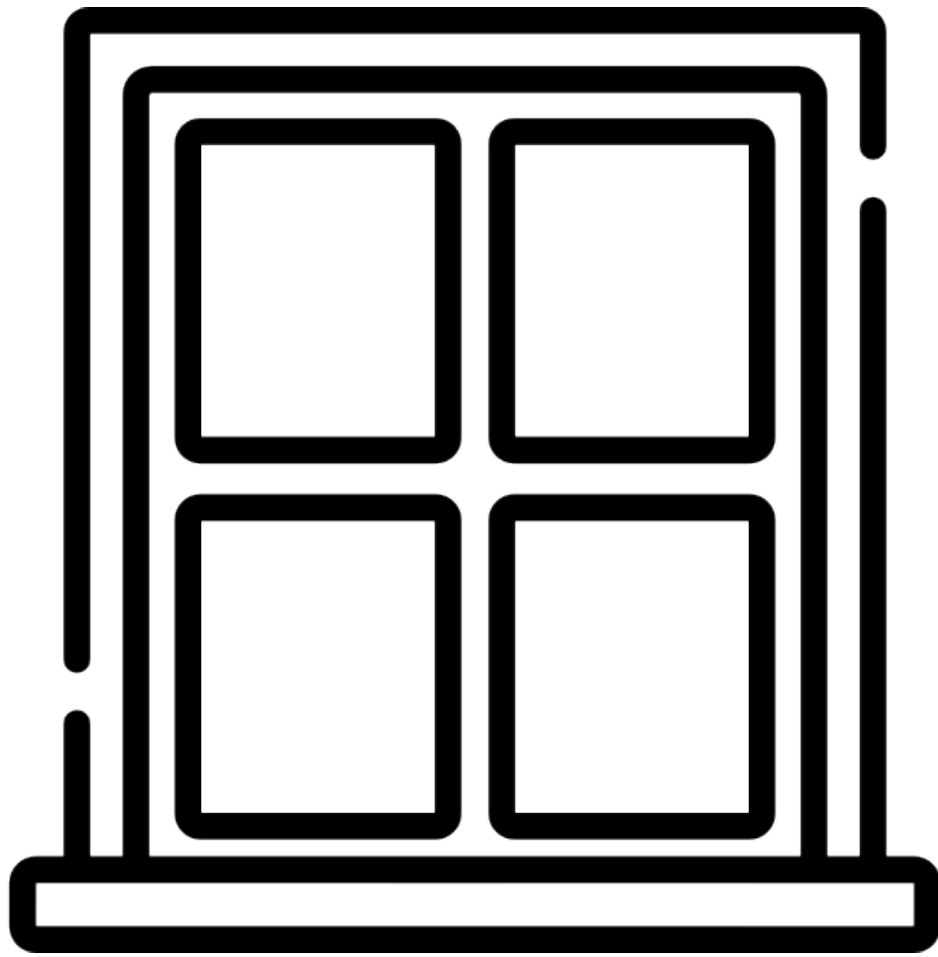


Human-AI Team



Me

Research Agenda



Transparency



Collaboration



Evaluation

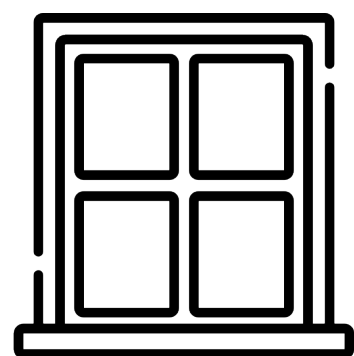
Research Style



Convenings

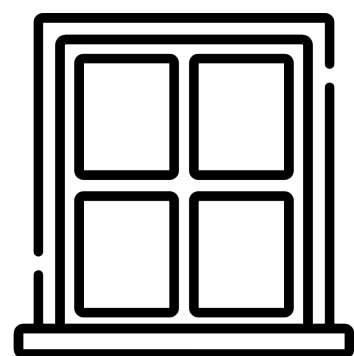
Methods

User Studies

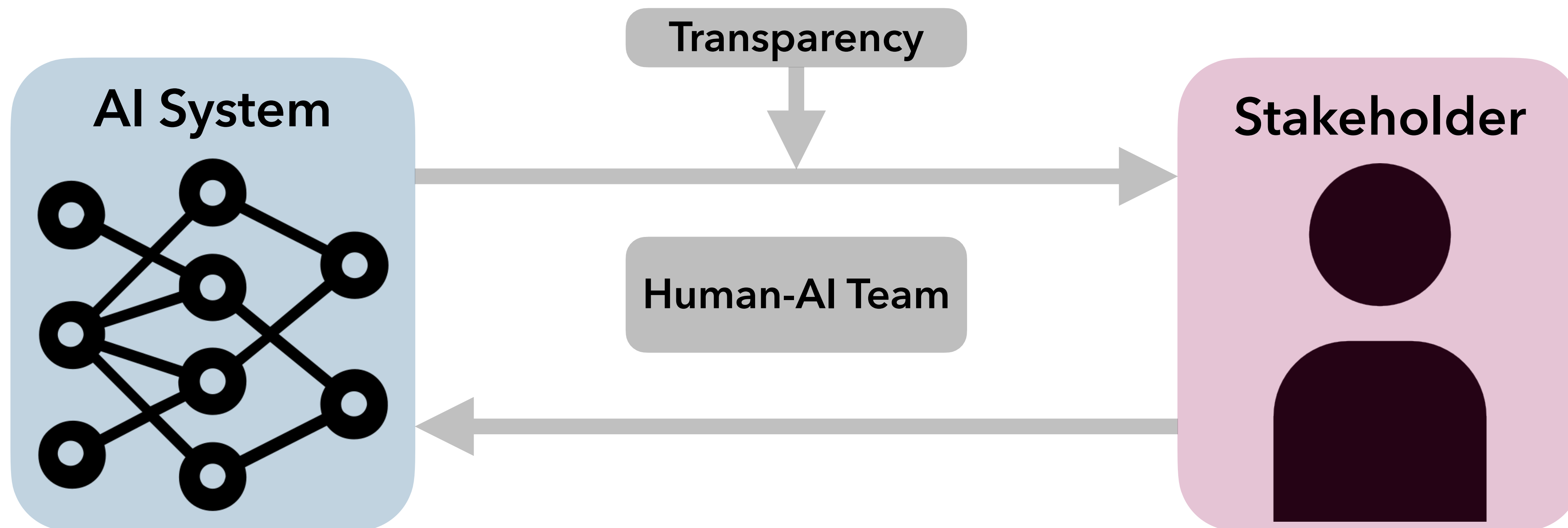


Transparency Mechanisms

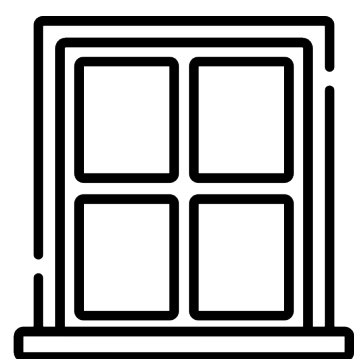
*How can we use transparency mechanisms to demonstrate the **trustworthiness** of AI systems?*



Transparency Mechanisms



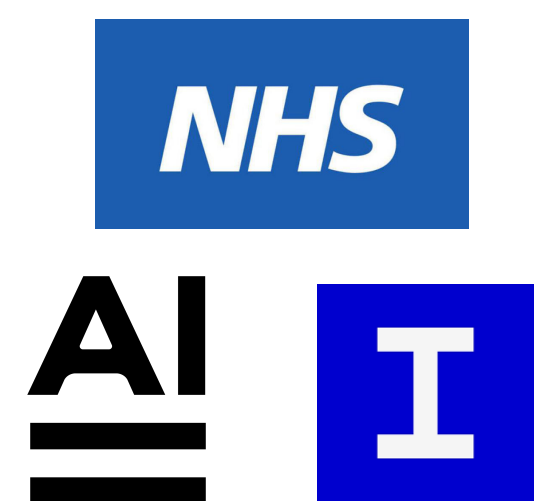
Transparency means providing stakeholders with *relevant* information about how a system works



Transparency Mechanisms

Procedural
Transparency

Algorithmic
Transparency

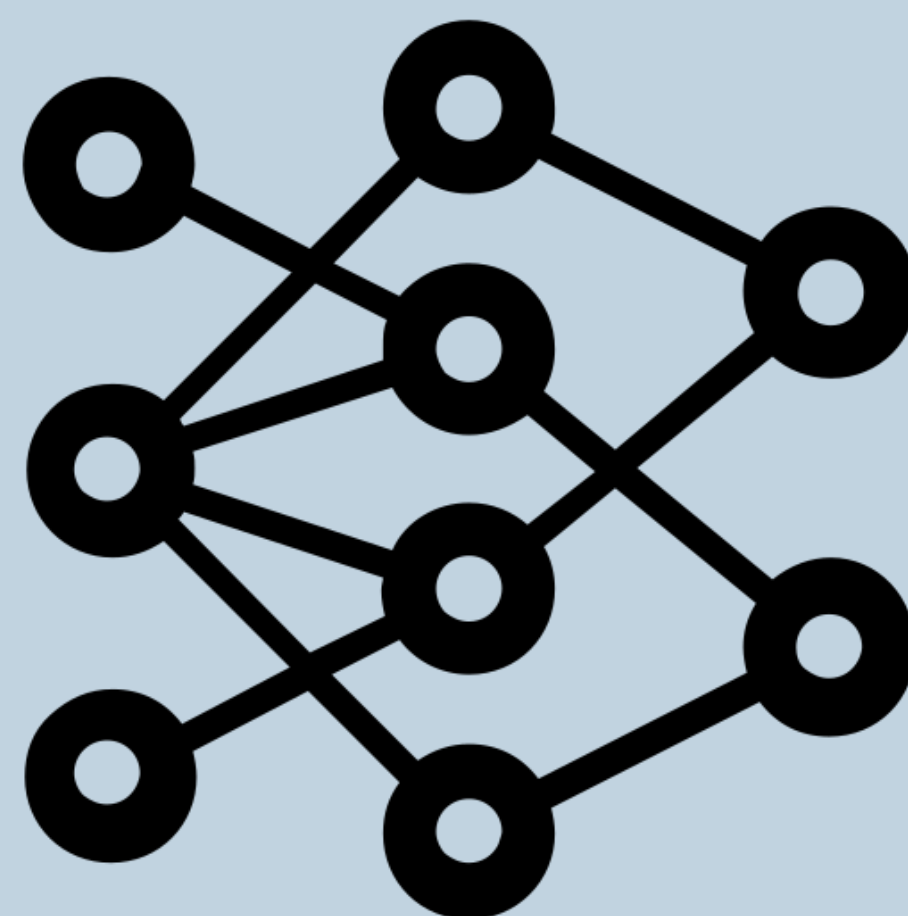


Documentation



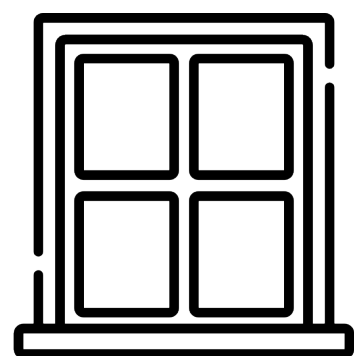
Certification

AI System

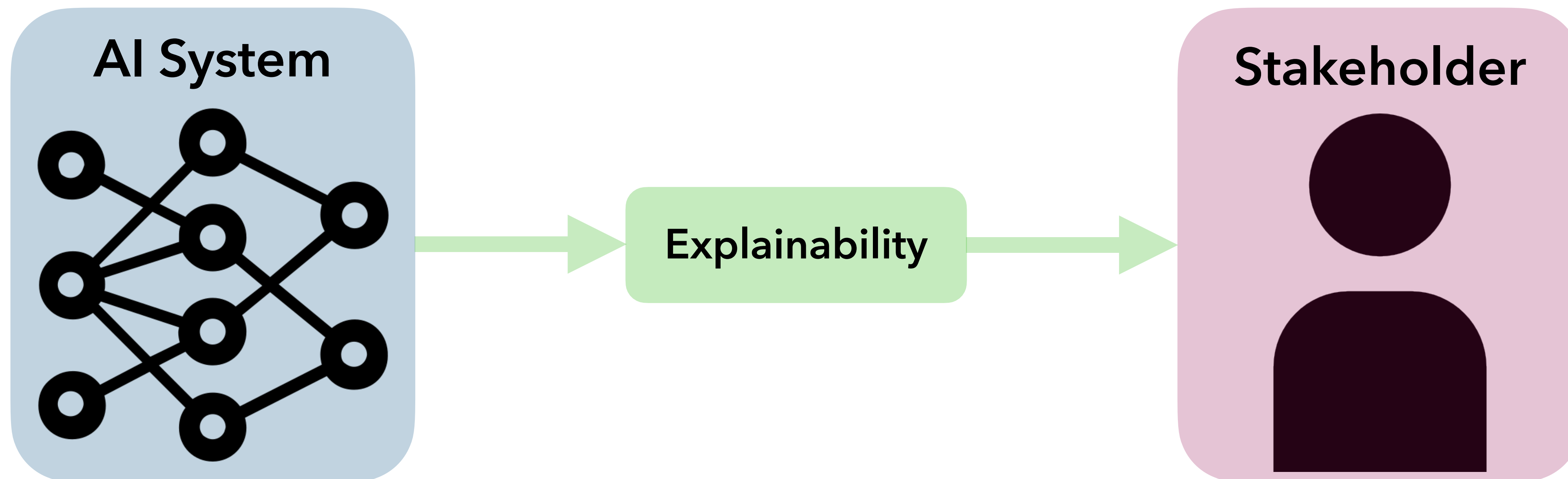


Explainability

Uncertainty



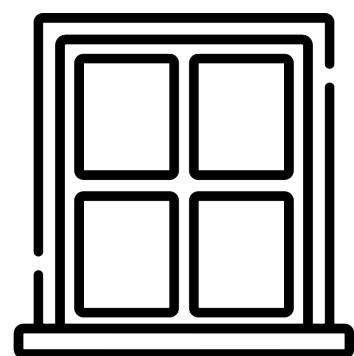
Transparency Mechanisms



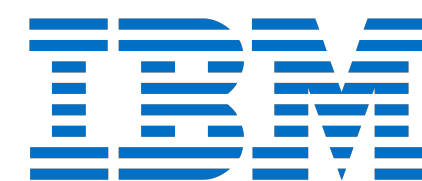
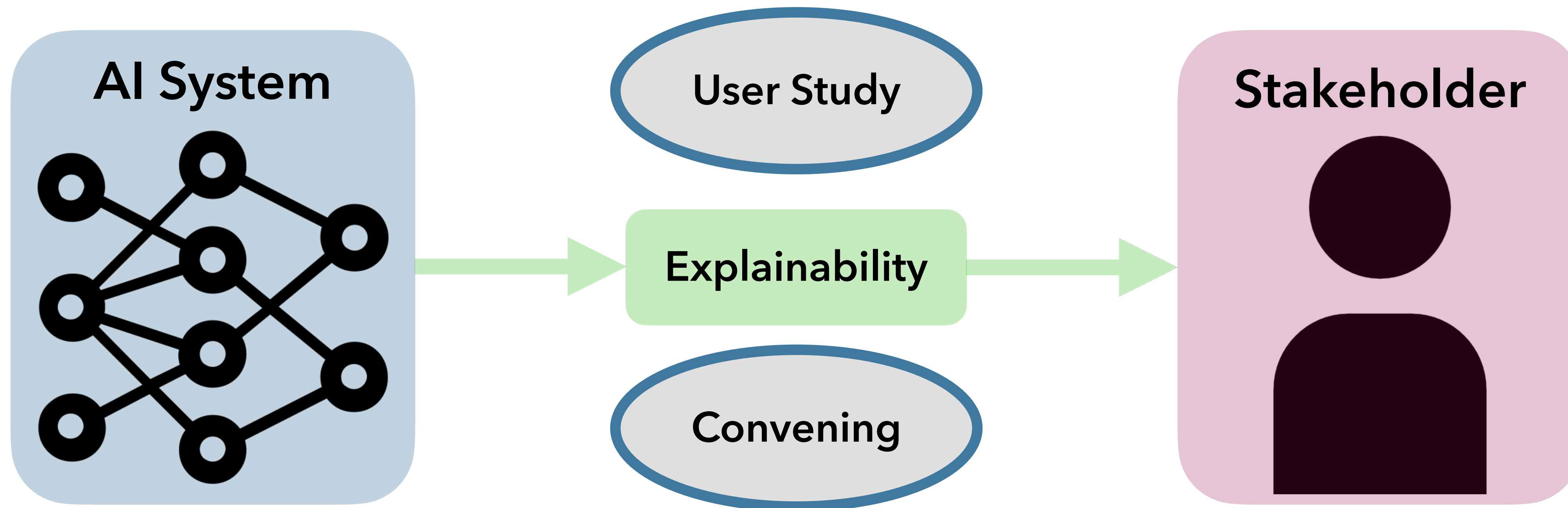
Explainability means providing insight into a model's behavior for specific datapoint(s)

B, Andrus, Xiang, Weller. *Machine Learning Explainability for External Stakeholders*. ICML WHI. 2020.

B, Xiang, Sharma, Weller, Taly, Jia, Ghosh, Puri, Moura, Eckersley. *Explainable Machine Learning in Deployment*. ACM FAccT. 2020.

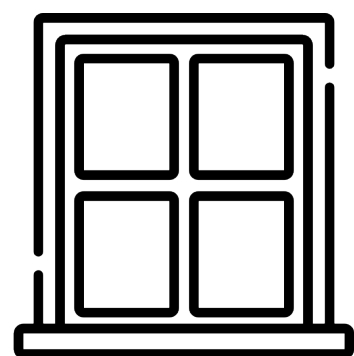


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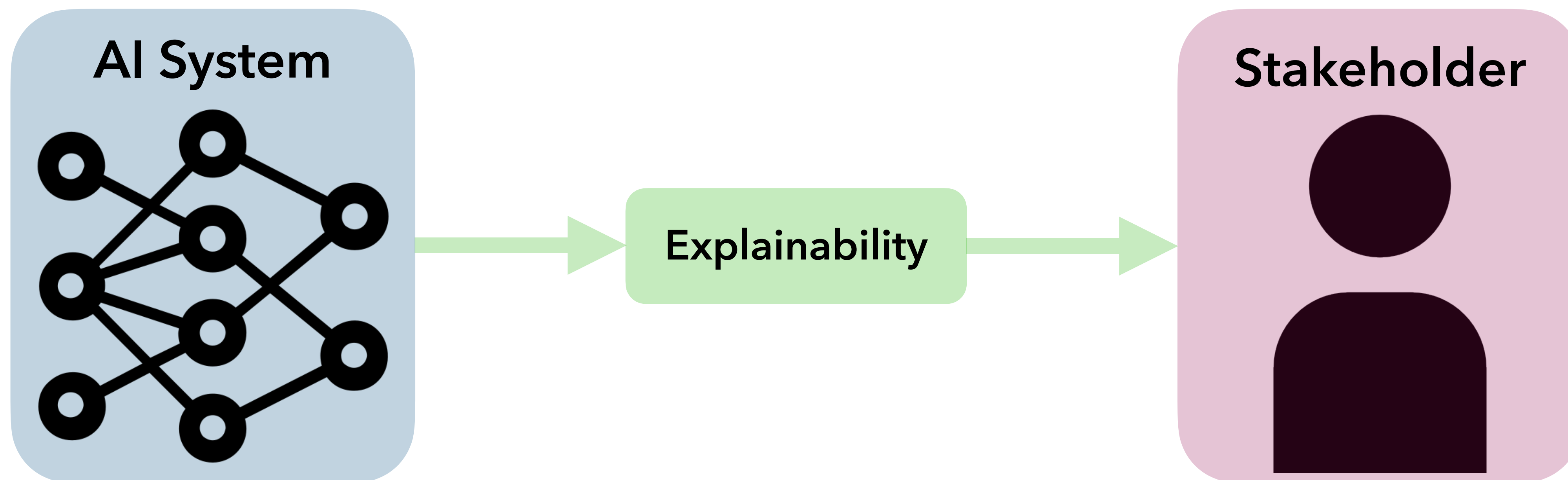


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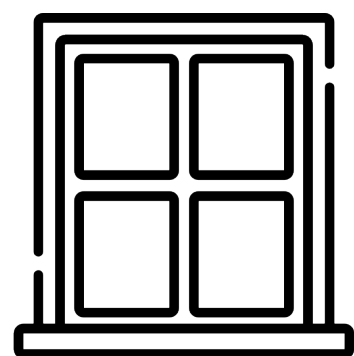
Transparency Mechanisms



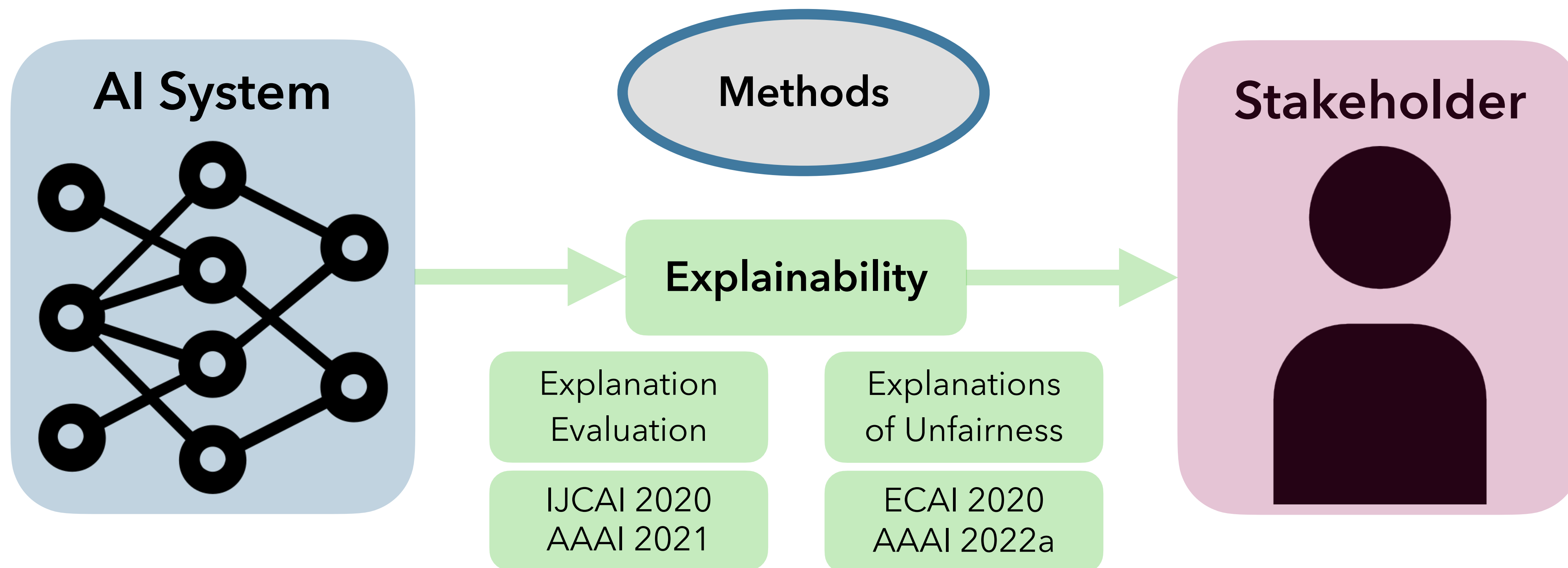
Explainability methods are **not** in service of transparency goals within organizations

B, Andrus, Xiang, Weller. *Machine Learning Explainability for External Stakeholders*. ICML WHI. 2020.

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Transparency Mechanisms

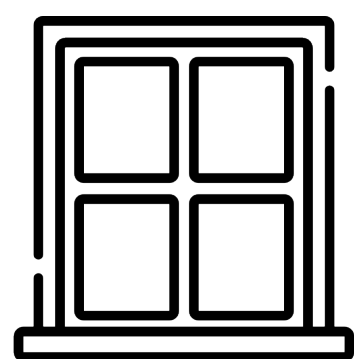


B, Moura, Weller. *Evaluating and Aggregating Feature-based Model Explanations*. IJCAI. 2020.

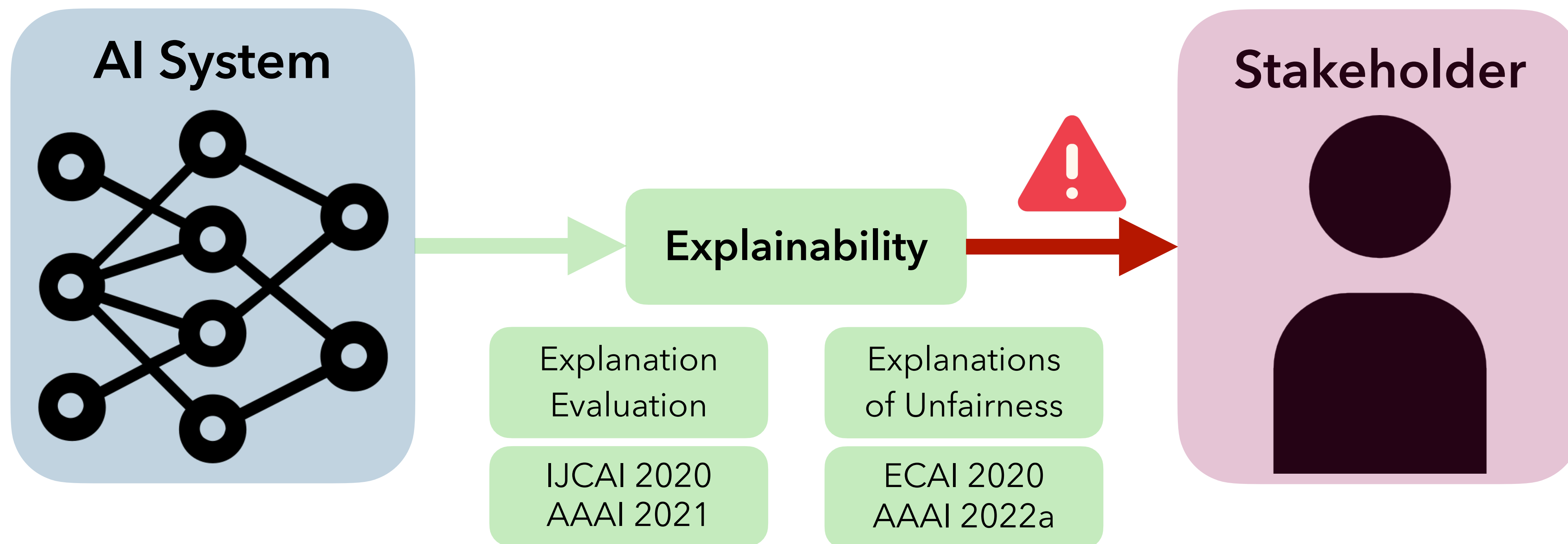
Chapman, **B**, Pazos, Schulz, Georgatzis. *FIMAP: Feature Importance by Minimal Adversarial Perturbation*. AAAI. 2021.

Dimanov, **B**, Jamnik, Weller. *You shouldn't trust me: Learning models which conceal unfairness from multiple explanation methods*. ECAI. 2020.

von Kügelgen, Karimi, **B**, Valera, Weller, Schölkopf. *On the fairness of causal algorithmic recourse*. AAAI. 2022.



Transparency Mechanisms

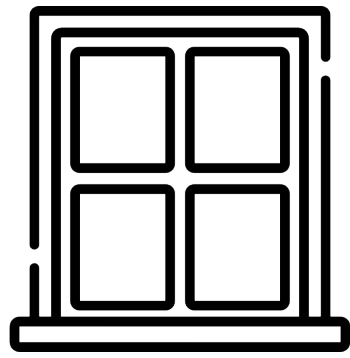


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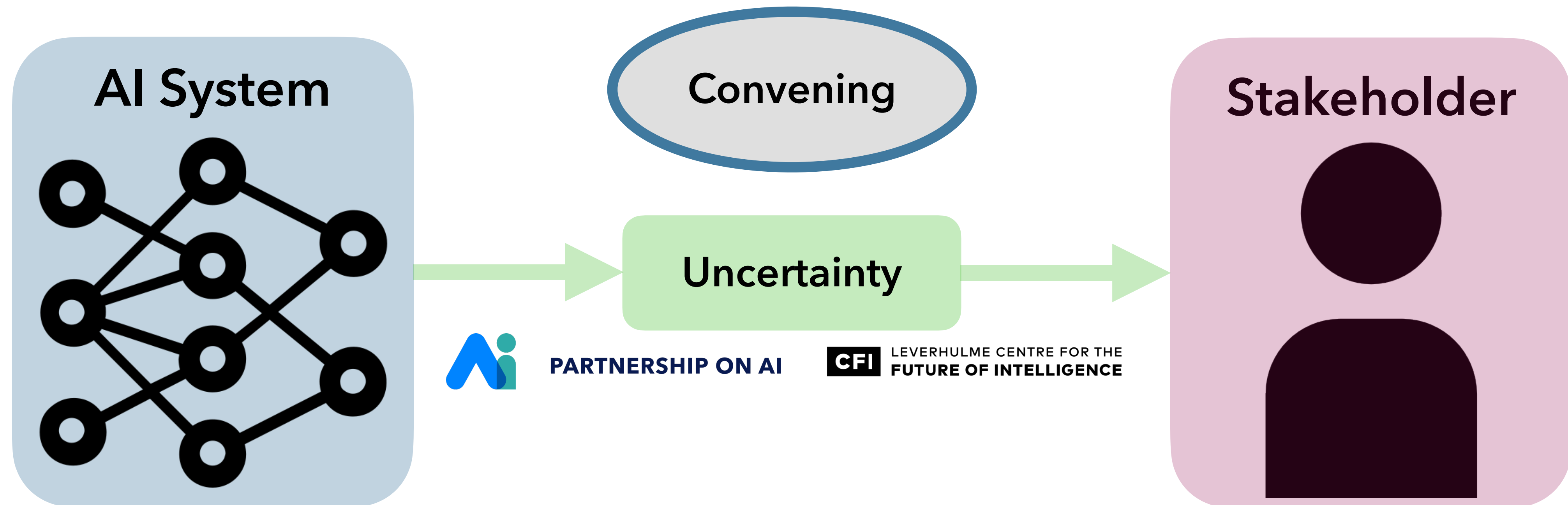
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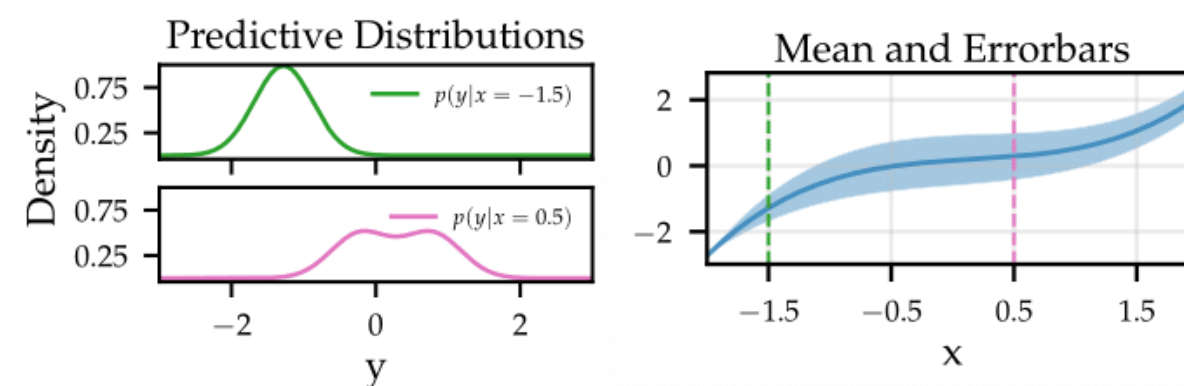
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Transparency Mechanisms



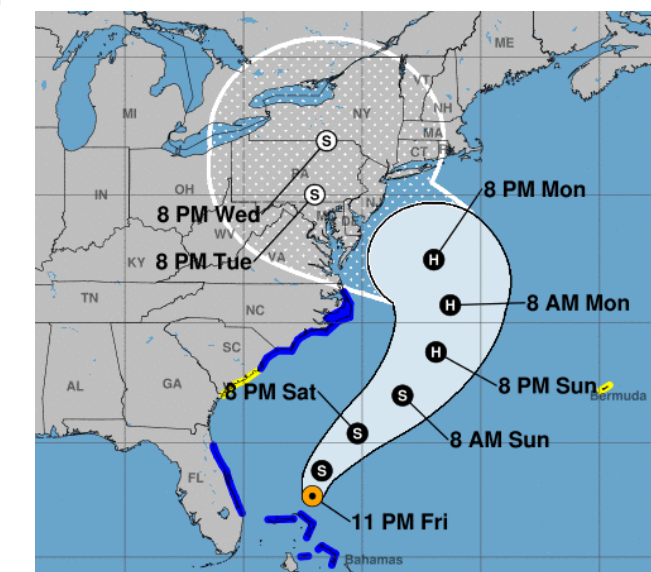
Step 1: Measuring

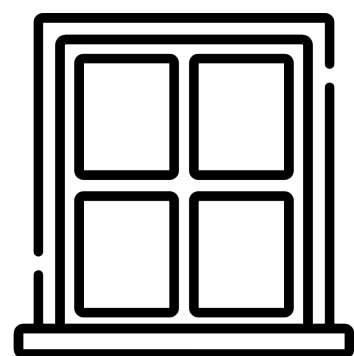


Step 2: Using

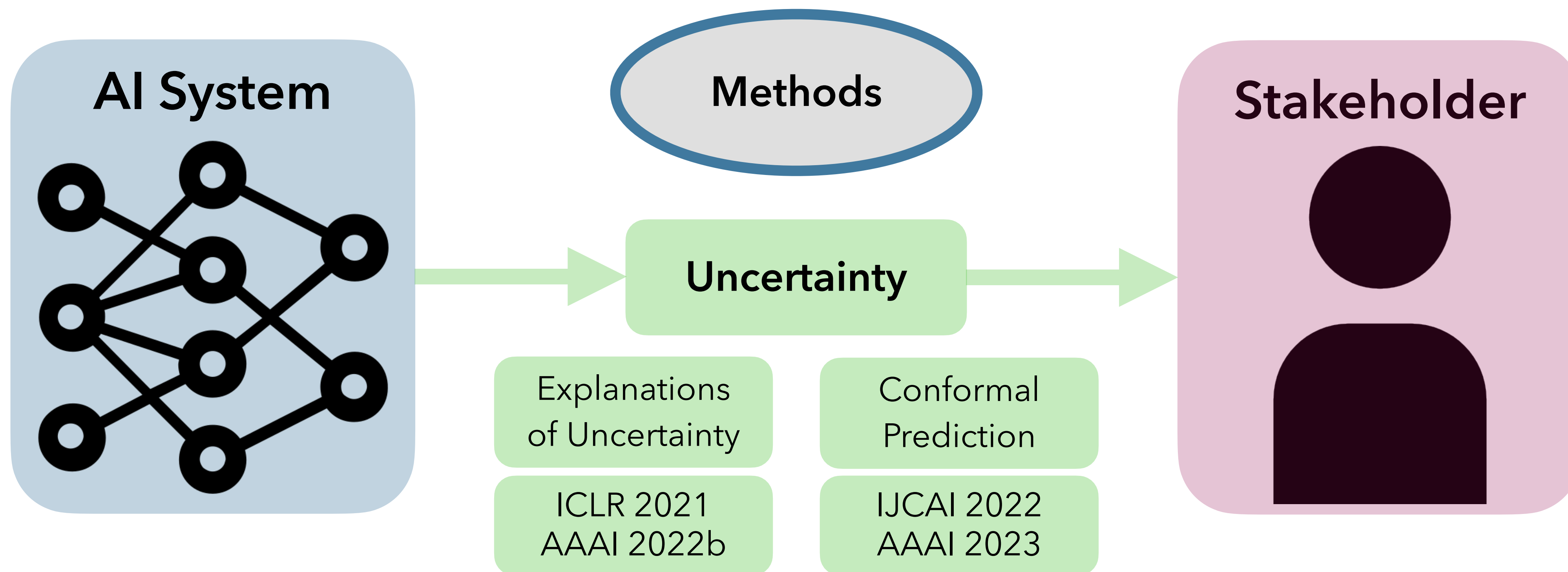
- **Fairness:** Measurement and Sampling Bias
- **Decision-Making:** Building Reject Option Classifiers
- **Trust Formation:** ABI

Step 3: Communicating





Transparency Mechanisms

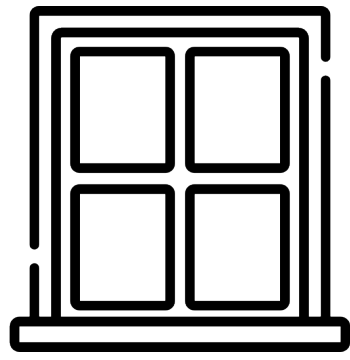


Antoran, **B**, Adel, Weller, Hernandez-Lobato. *Getting a CLUE: A Method for Explaining Uncertainty Estimates*. ICLR. 2021.

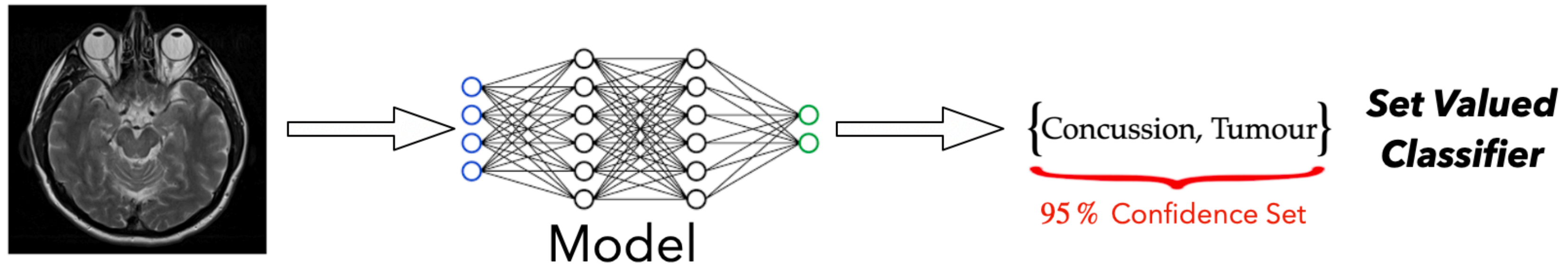
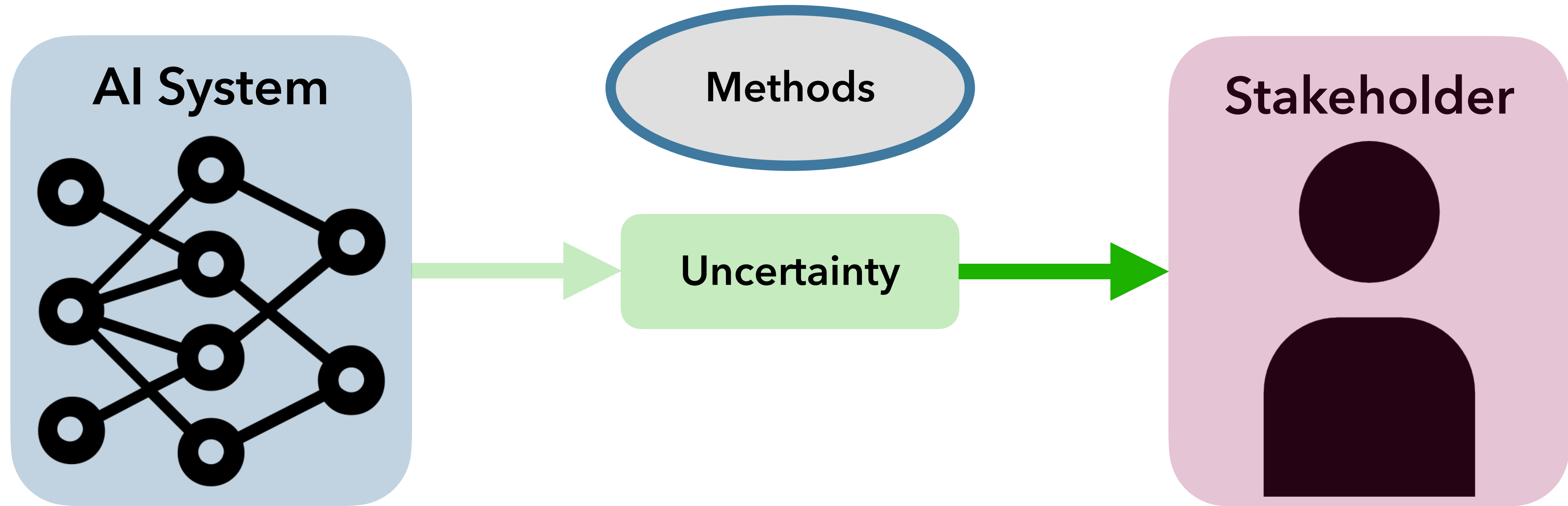
Ley, **B**, Weller. *Diverse and Amortised Counterfactual Explanations for Uncertainty Estimates*. AAAI. 2022.

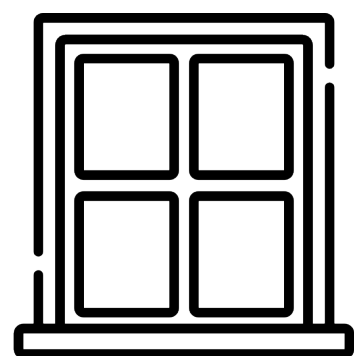
Babbar, **B**, Weller. *On the Utility of Prediction Sets in Human-AI Teams*. IJCAI. 2022.

Martinez, **B**, Weller, Cherubin. *Approximating full conformal prediction at scale via influence functions*. AAAI. 2023.

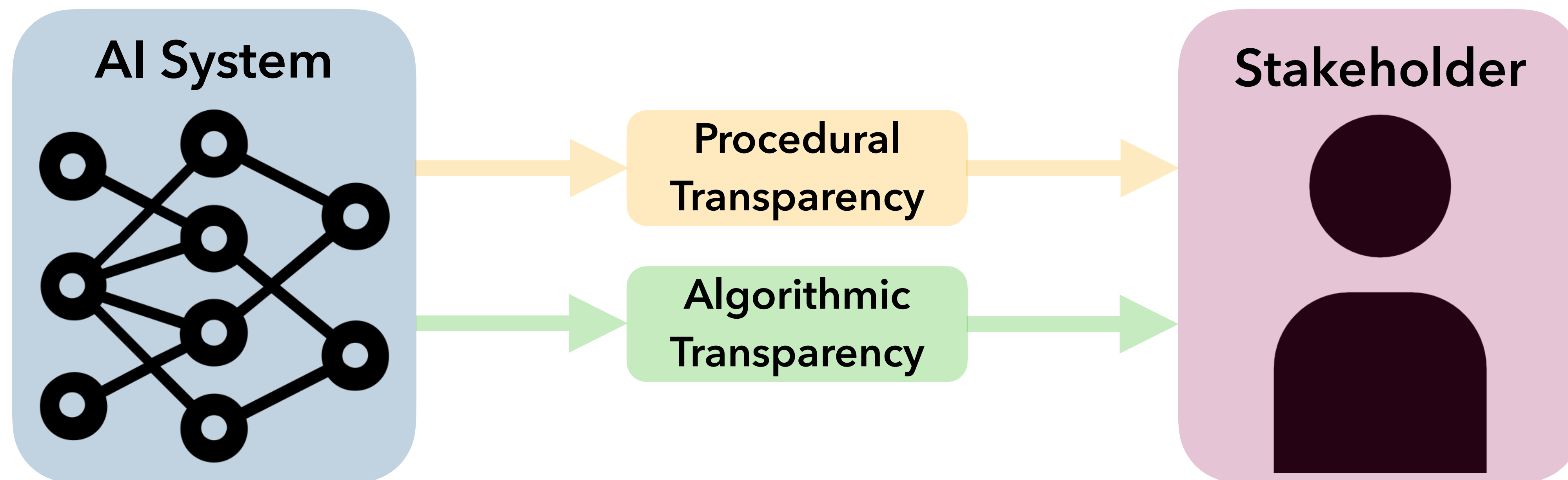


Transparency Mechanisms



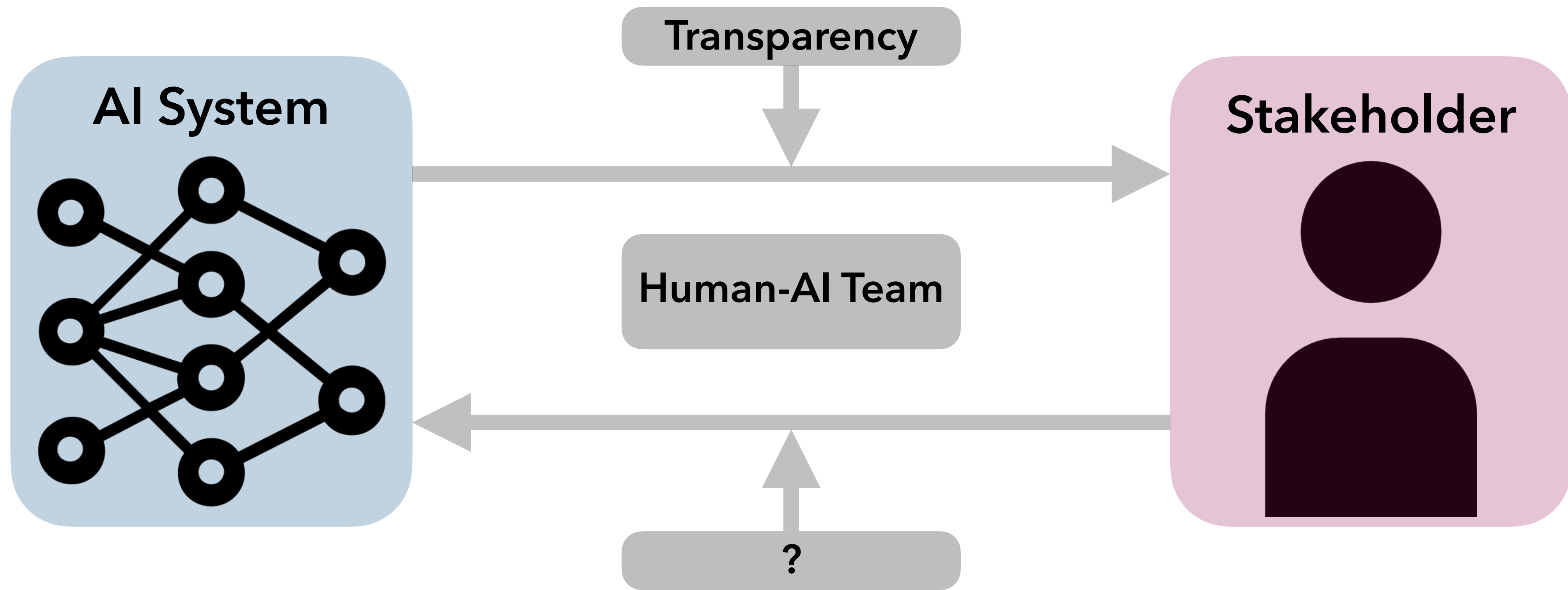


Transparency Mechanisms



*How can we align transparency mechanisms with **regulatory** requirements?*

*How can we use **natural language** uncertainty explanations to improve trustworthiness?*



Babbar, B, Weller. *On the Utility of Prediction Sets in Human-AI Teams*. IJCAI. 2022.

Chen*, B*, Heidari, Weller, Talwalkar. *Perspectives on Incorporating Expert Feedback into Model Updates*. Patterns. 2023.



Effective Human-AI Collaboration

*How can AI systems work **alongside** human decision-makers?*

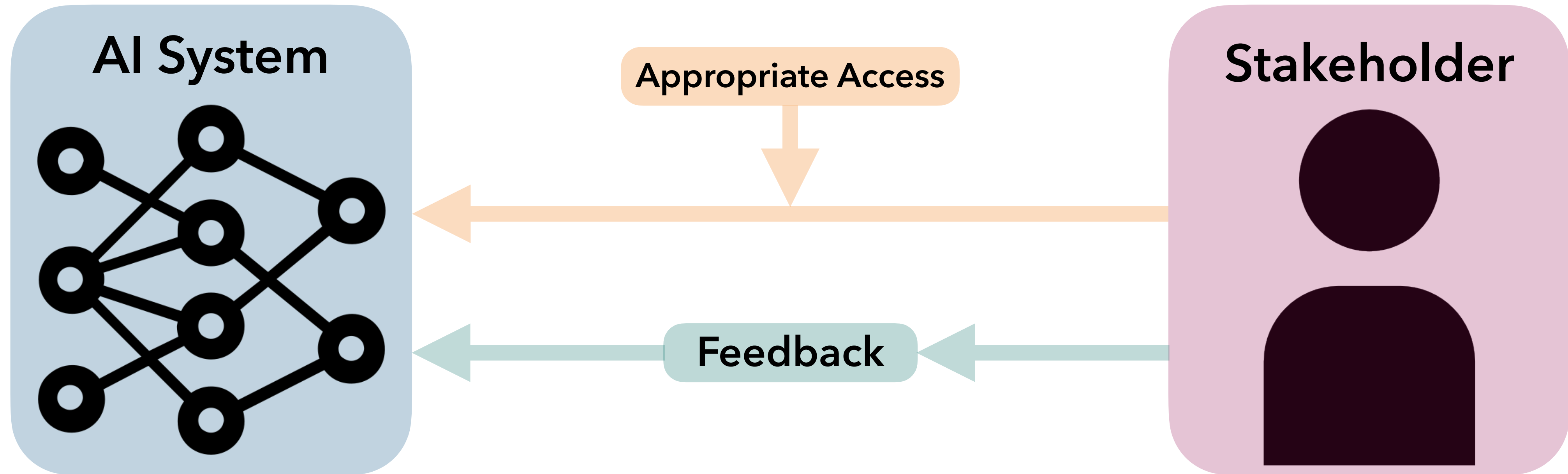
B*, Sargeant*. *When Should Algorithms Resign?* IEEE Computer. 2024.

B*, Chen*, Collins, P. Kamalaruban, Kallina, Weller, Talwalkar. *Learning Personalized Decision Support Policies.* AAAI. 2025.

Chen*, **B***, Heidari, Weller, Talwalkar. *Perspectives on Incorporating Expert Feedback into Model Updates.* Patterns. 2023.



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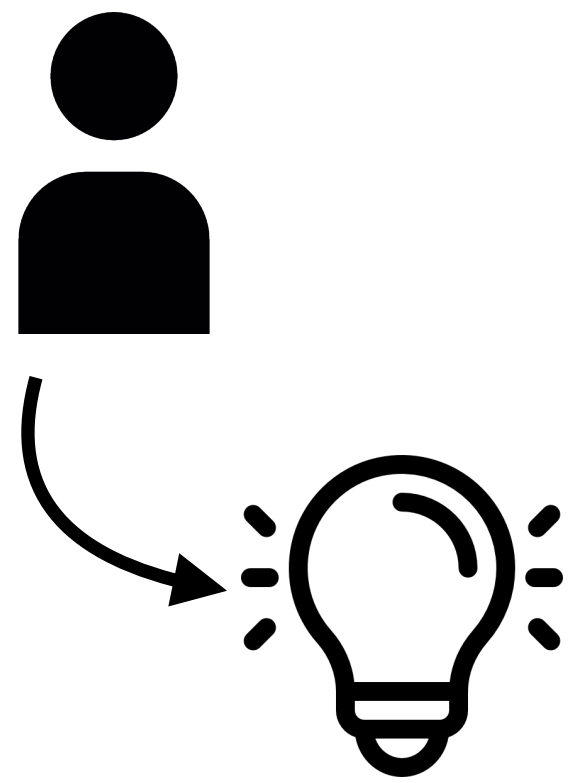
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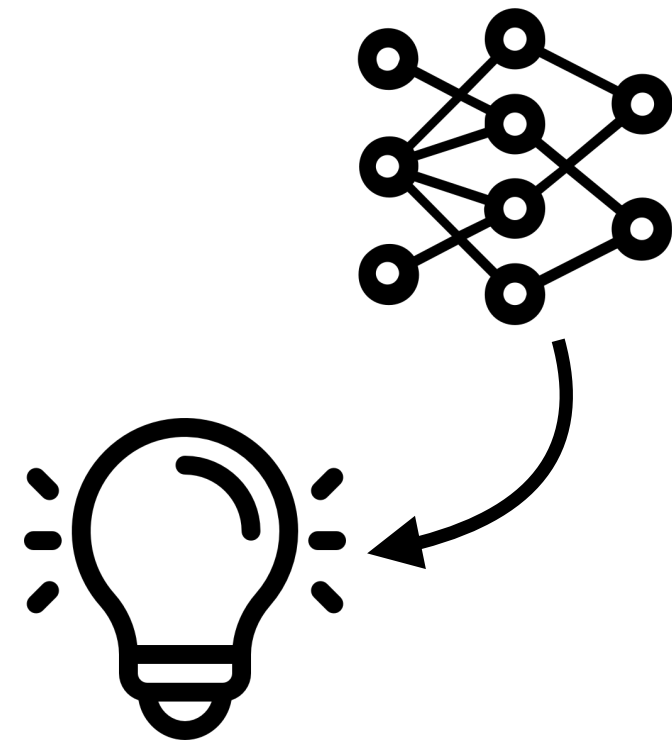
Effective Human-AI Collaboration

humans alone



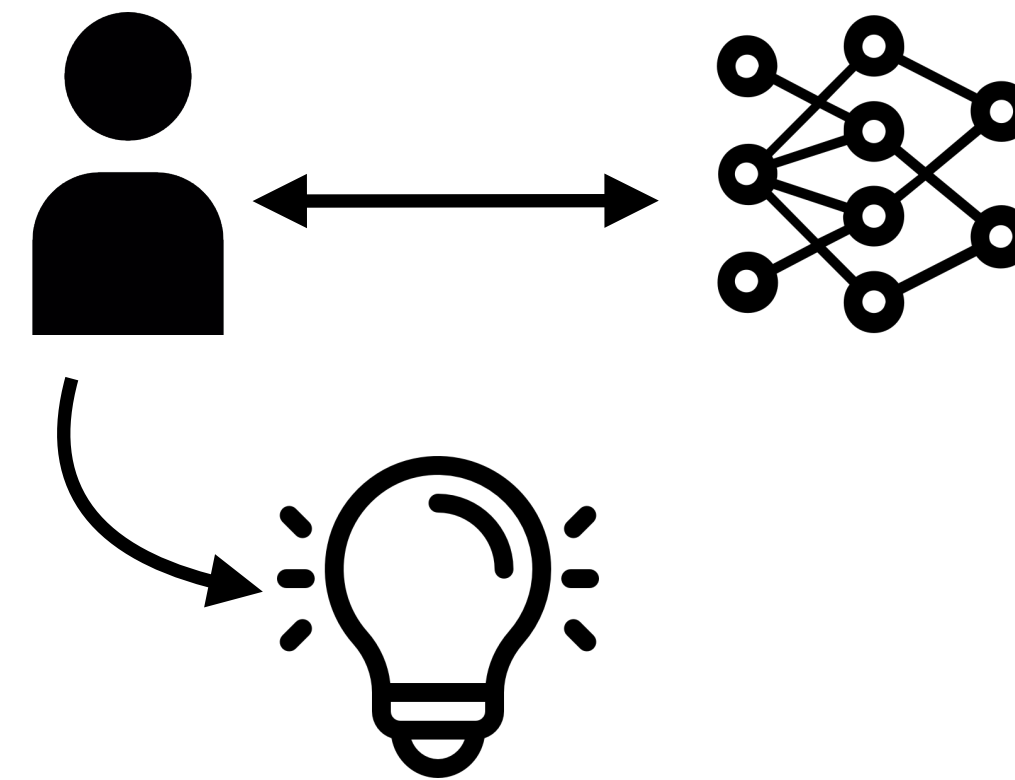
$$\bar{y} = h(x)$$

AI alone



$$\hat{y} = f(x)$$

AI as a tool



$$\tilde{y} = h(x; f)$$

How good is a human?

$$\ell(y, \bar{y})$$

How good is the AI?

$$\ell(y, \hat{y})$$

How good is the team?

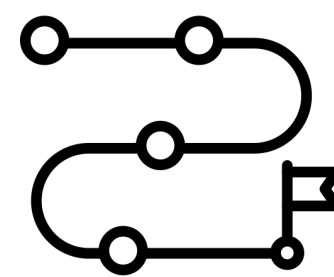
$$\ell(y, \tilde{y})$$

How much does AI help?

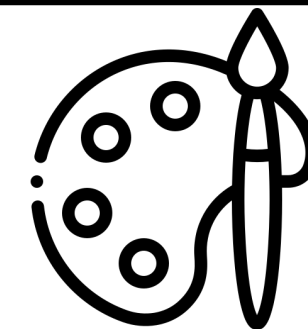
$$\ell(\bar{y}, \tilde{y})$$



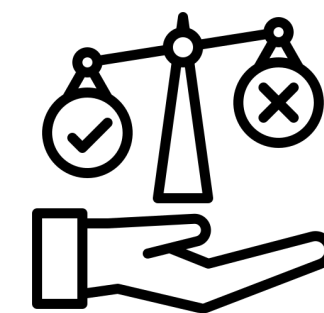
product of team →



plan



creation



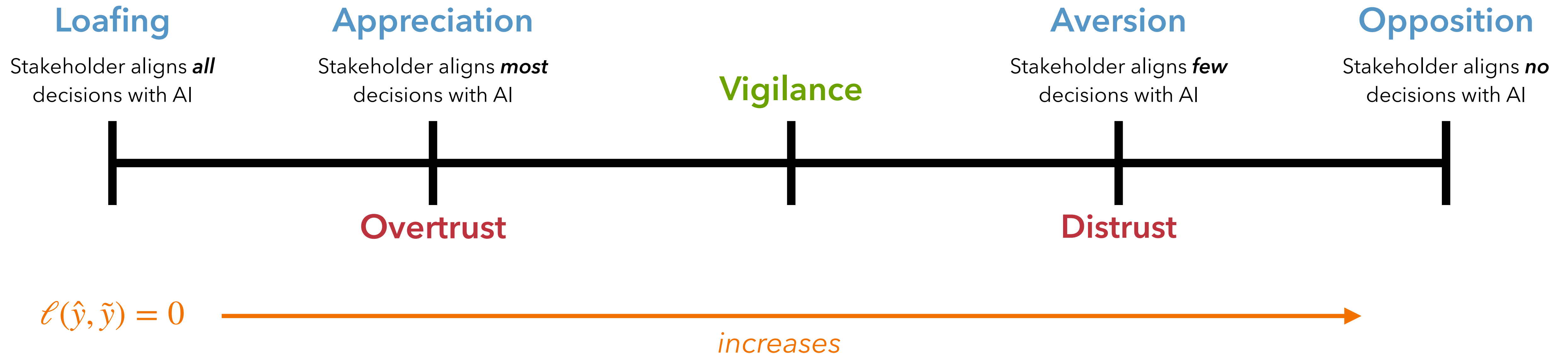
decision



learning



Effective Human-AI Collaboration





Effective Human-AI Collaboration

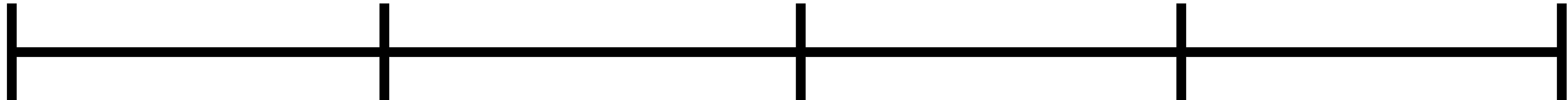
Loafing

Appreciation

Vigilance

Aversion

Opposition



POLITICS

Judge sanctions lawyers for brief written by A.I. with fake citations

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FROM AFP NEWS

Brazil Judge Investigated For AI Errors In Ruling

By AFP - Agence France Presse November 13, 2023

Tesla wins first US Autopilot trial involving fatal crash

By Dan Levine and Hyunjoo Jin

November 1, 2023 12:58 AM EDT · Updated a month ago

Is your health insurer using AI to deny you services? Lawsuit says errors harmed elders.

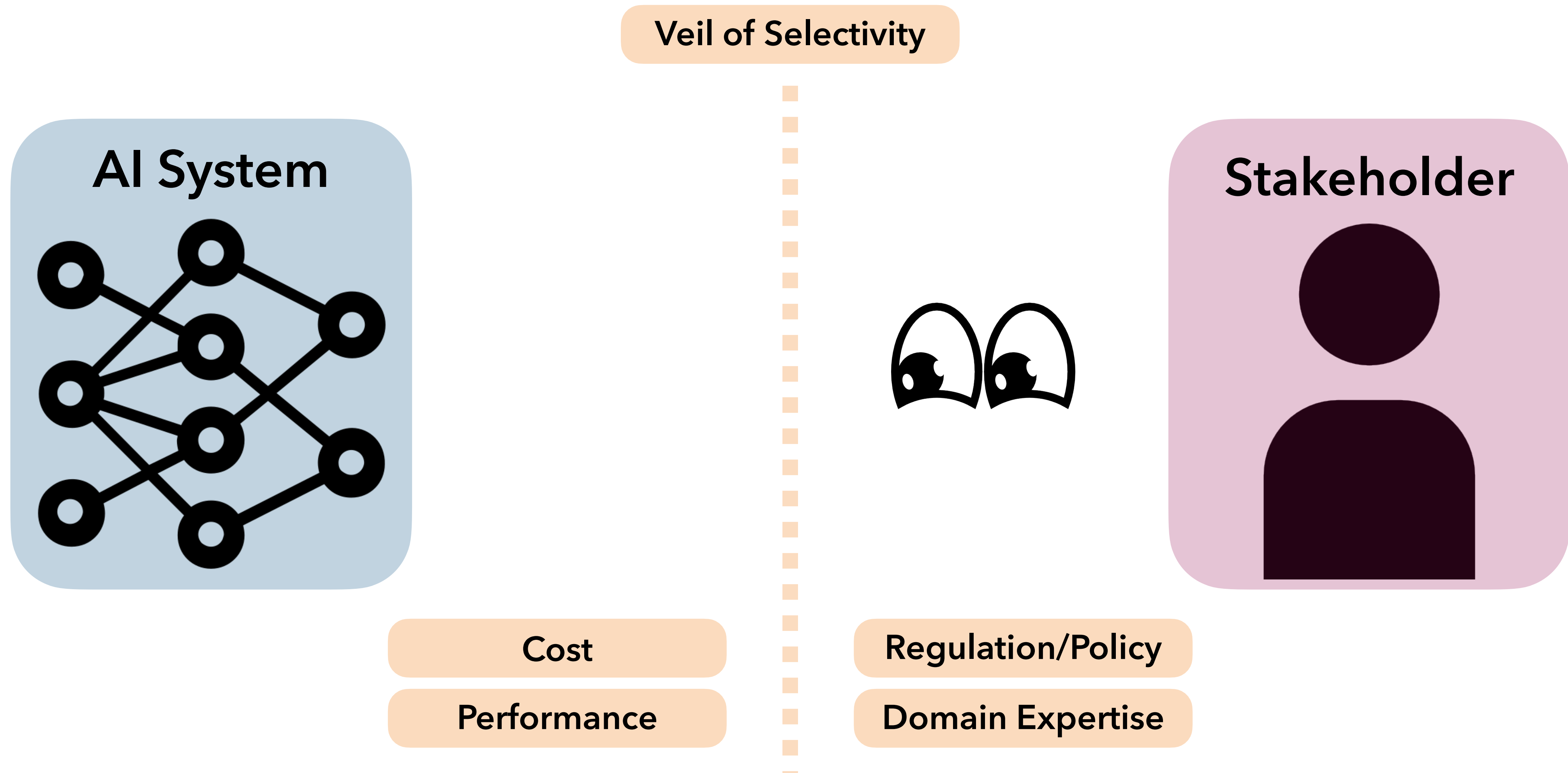


Ken Alltucker
USA TODAY

Published 5:18 a.m. ET Nov. 19, 2023 | Updated 11:19 a.m. ET Nov. 20, 2023



Effective Human-AI Collaboration

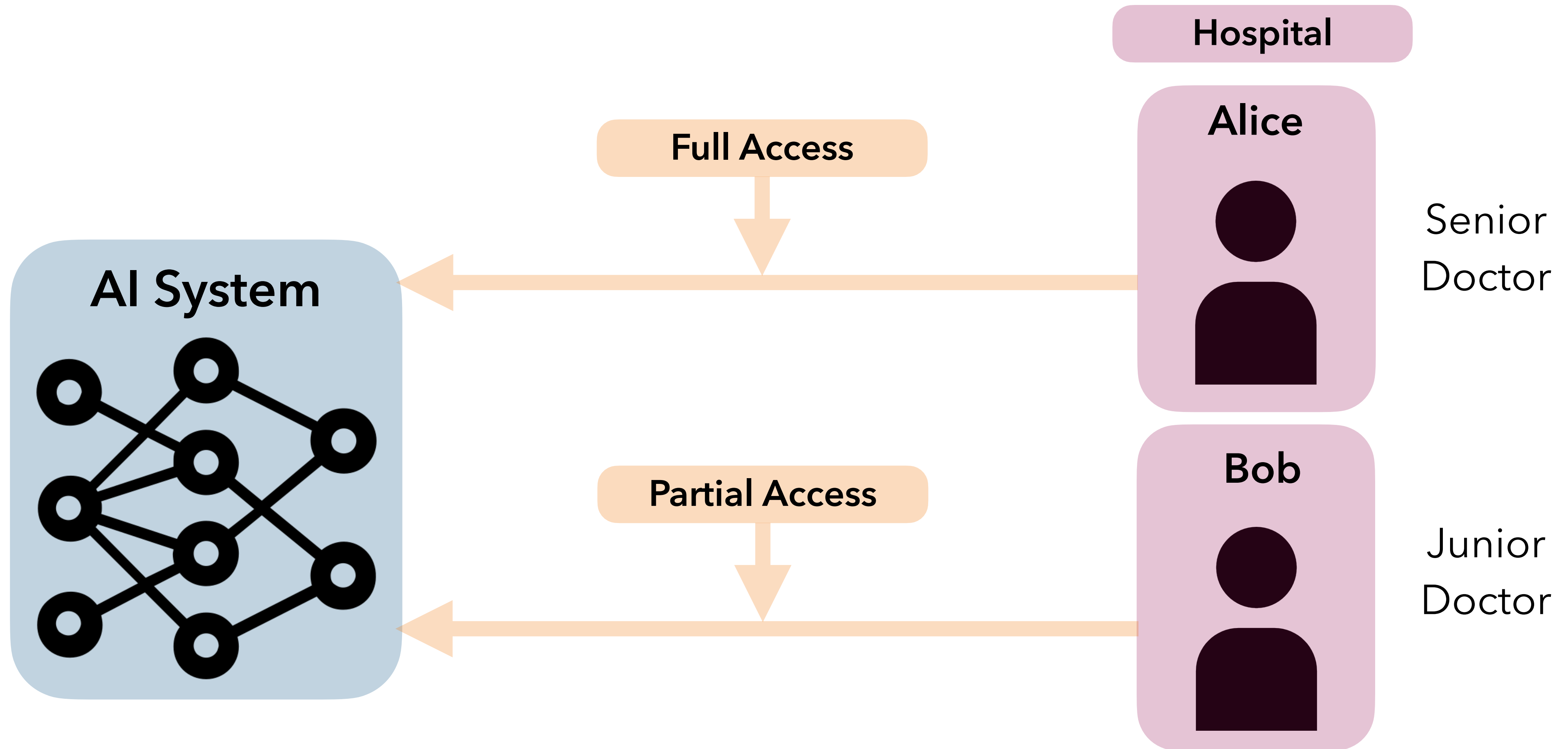


B*, Sargeant*. *When Should Algorithms Resign?* IEEE Computer. 2024.

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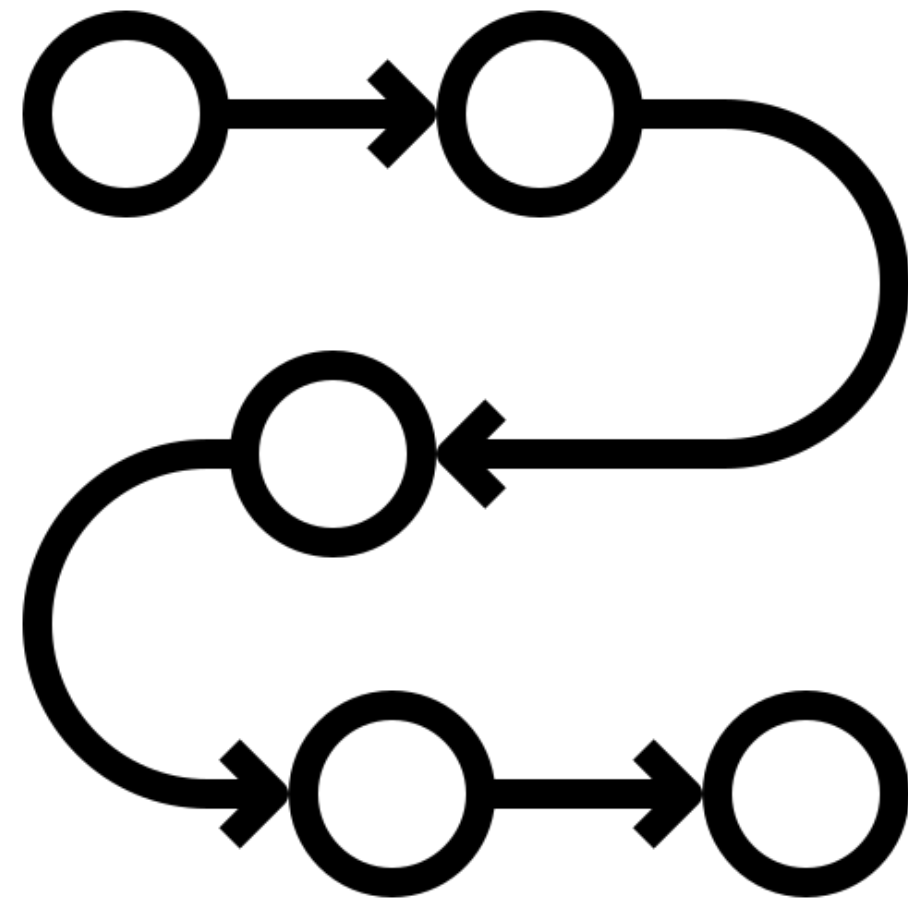
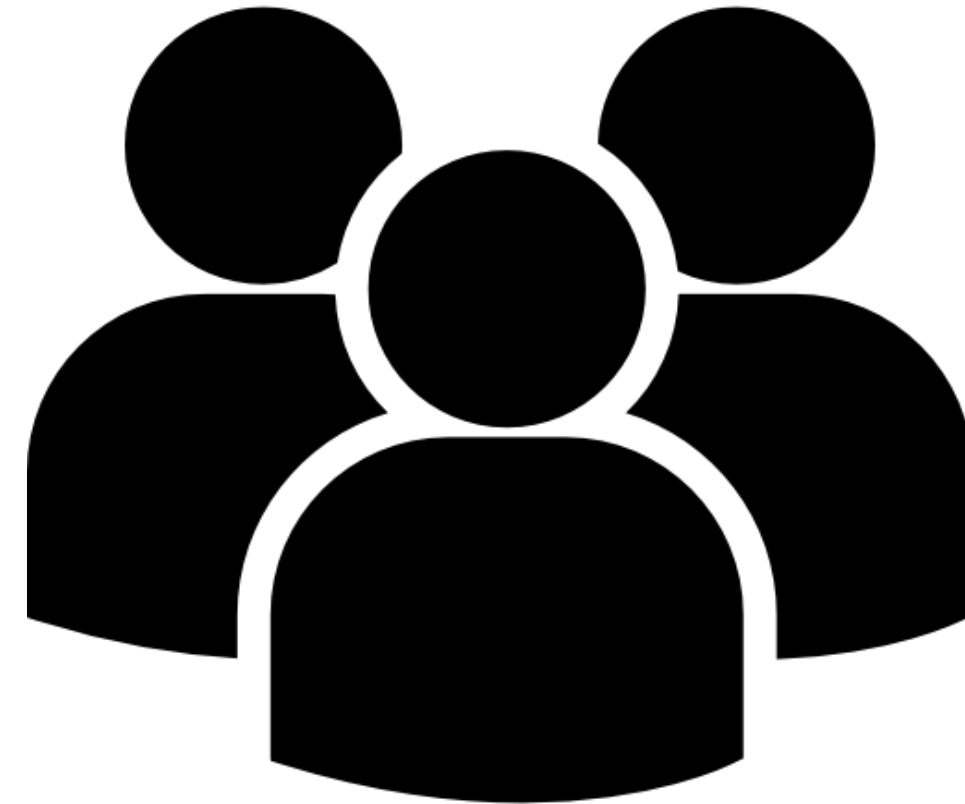


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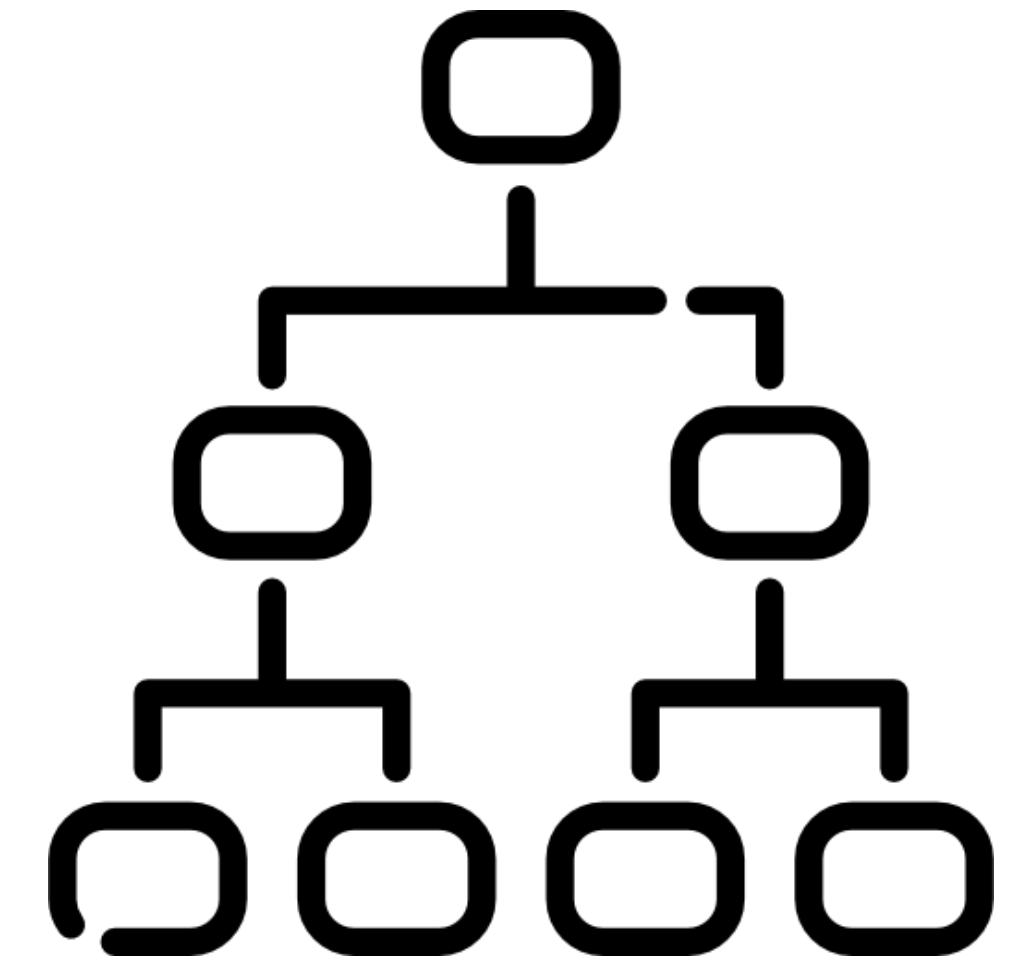


Effective Human-AI Collaboration



Online Learning

Learning from Prior Data



Rule-Based

B*, Sargeant*. *When Should Algorithms Resign?* IEEE Computer. 2024.

B*, Chen*, Collins, P. Kamalaruban, Kallina, Weller, Talwalkar. *Learning Personalized Decision Support Policies.* AAAI. 2025.

Collins, Chen, Sucholutsky, Kirk, Sadek, Sargeant, Talwalkar, Weller, **B**. *Modulating Language Model Experiences through Frictions.* Under Review. 2024.



Effective Human-AI Collaboration

User Study

*Under what conditions is **selective** access to AI assistance helpful?*



Foul detection with
soccer referees



Visual pollution detection
with city inspectors



Mortality prediction with
cardiologists

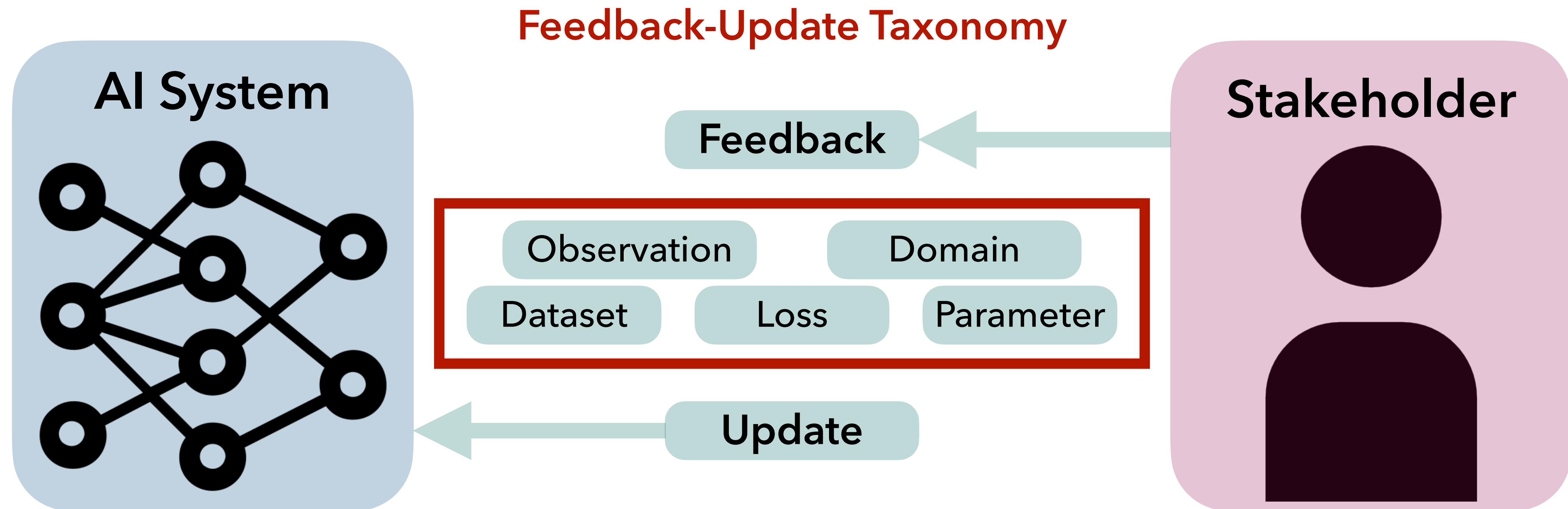
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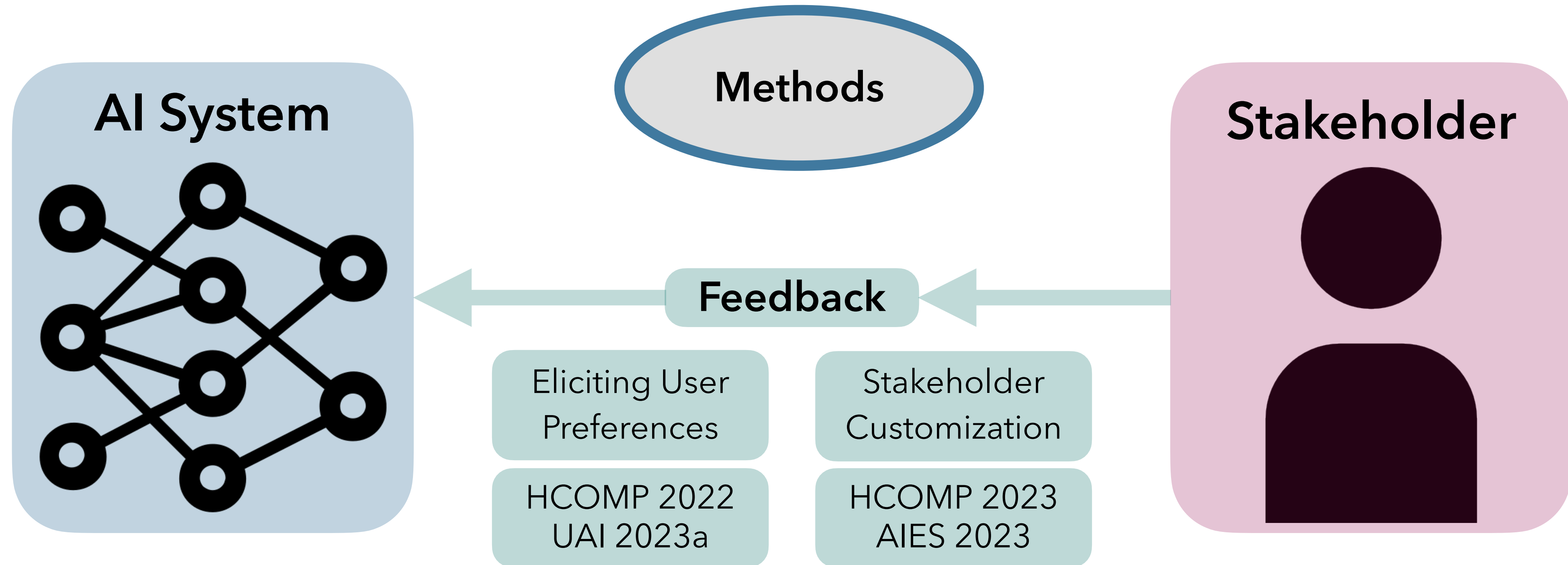


Hertwig, Erev. *The description-experience gap in risky choice*. Trends in Cognitive Science. 2009.

Chen*, B*, Heidari, Weller, Talwalkar. *Perspectives on Incorporating Expert Feedback into Model Updates*. Patterns. 2023.



Effective Human-AI Collaboration



Collins*, **B***, Weller. *Eliciting and Learning with Soft Labels from Every Annotator*. AAAI HCOMP. 2022.

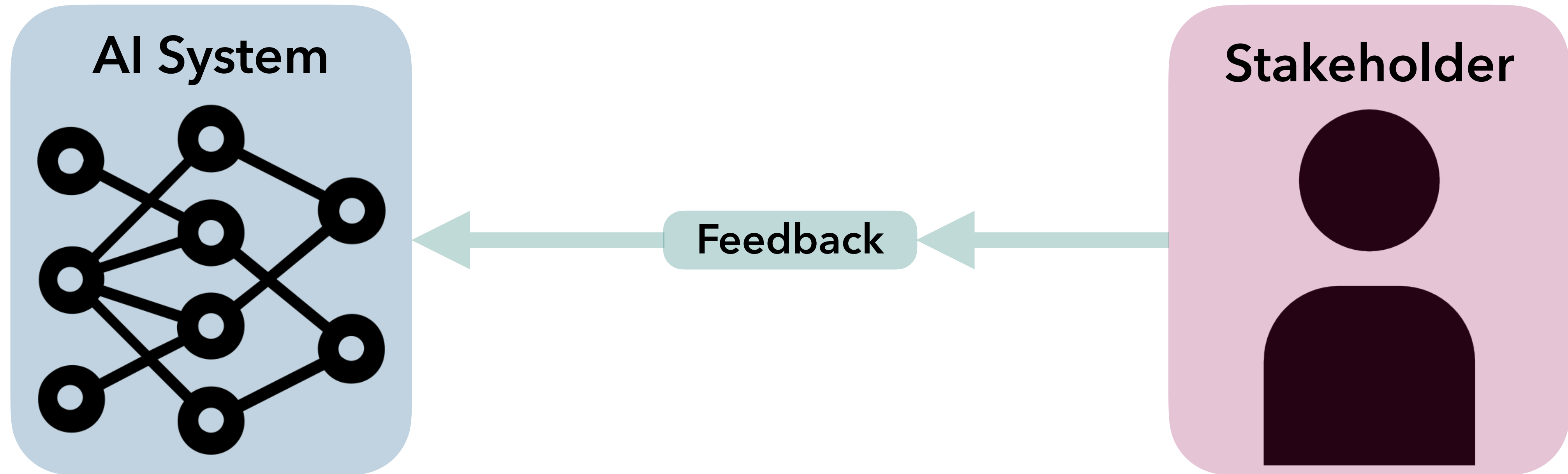
Collins, **B**, Liu, Piratla, Sucholutsky, Love, Weller. *Human-in-the-Loop mixUp*. UAI. 2023.

Collins, Barker, Espinosa, Raman, **B**, Jamnik, Sucholutsky, Weller, Dvijotham. *Human Uncertainty in Concept-Based AI Systems*. ACM AIES. 2023.

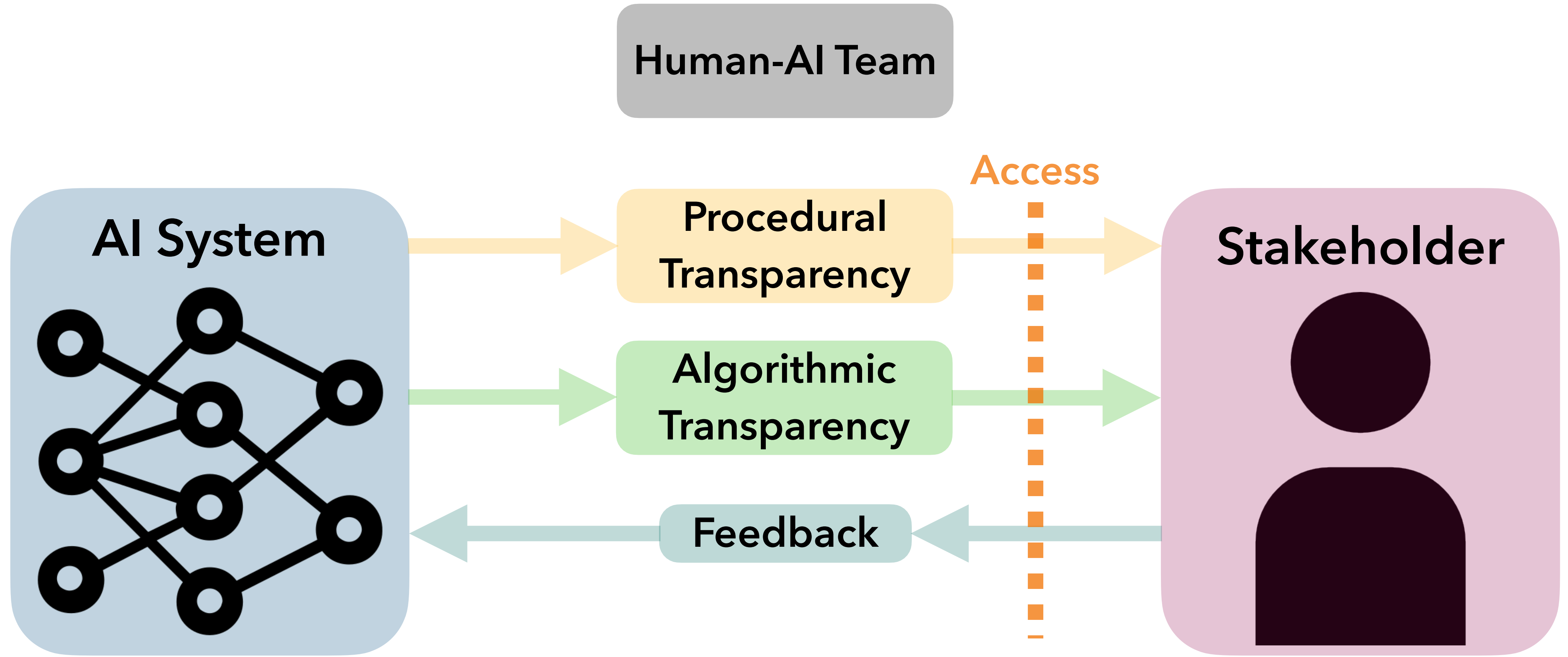
Barker, Collins, Dvijotham, Weller, **B**. *Selective Concept Models: Permitting Stakeholder Customization at Test-Time*. AAAI HCOMP. 2023.



Effective Human-AI Collaboration

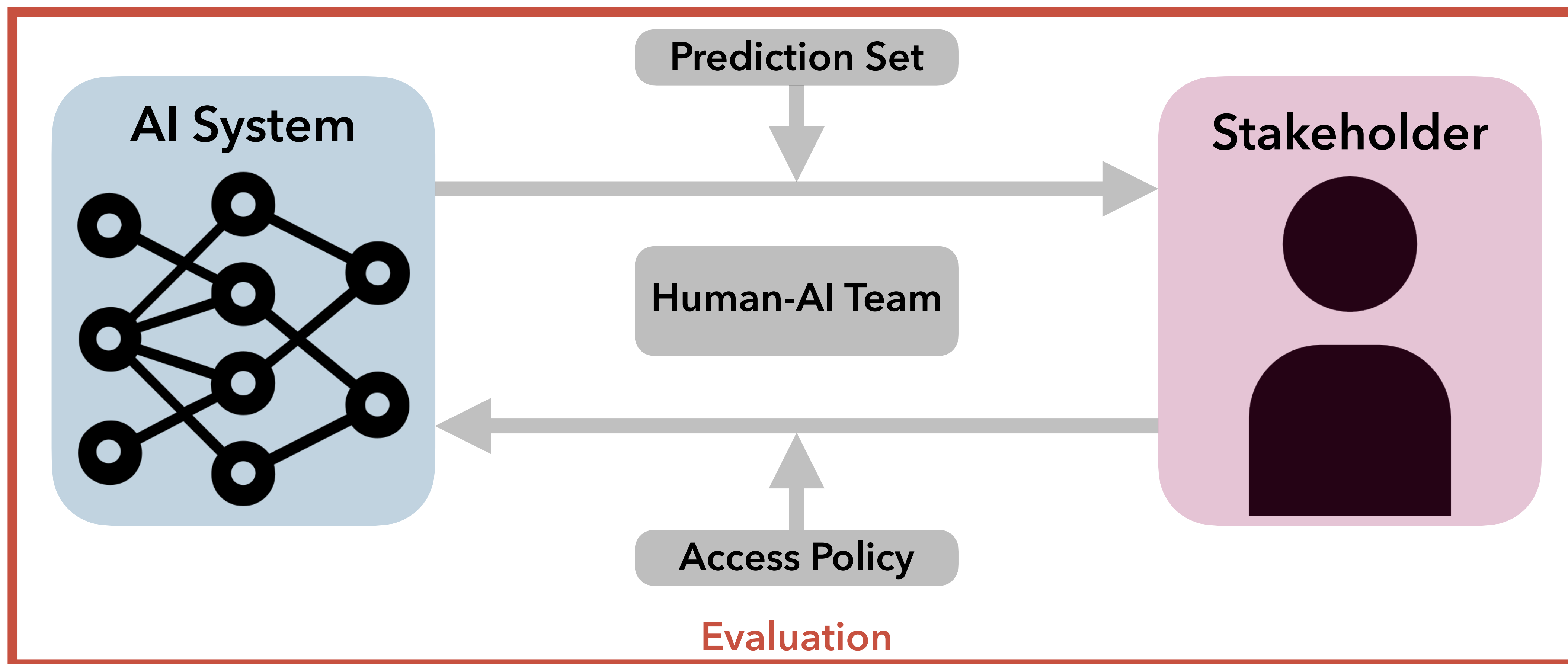


*How do feedback mechanisms **vary** across cultures and contexts?*



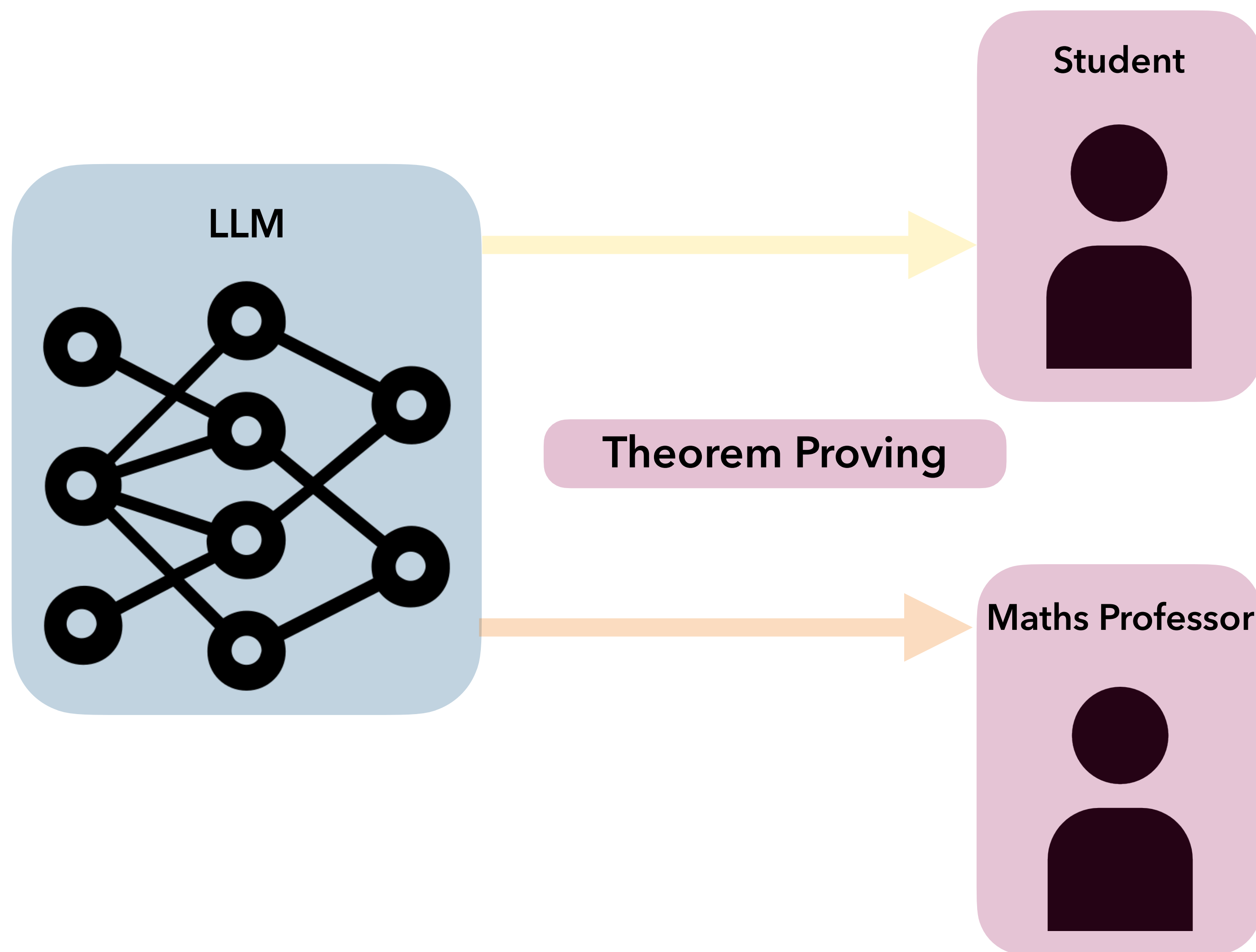


Interactive Human-Centered Evaluation





Interactive Human-Centered Evaluation

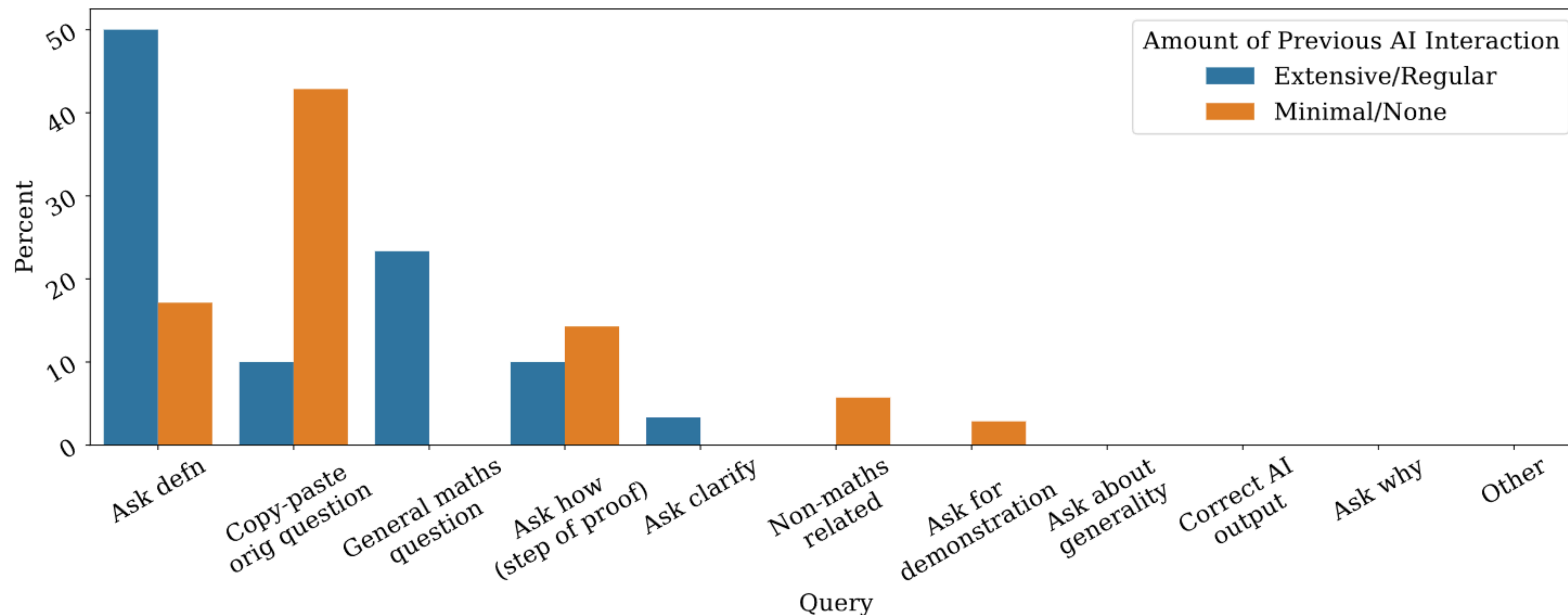


User Studies

1. Observing usage patterns teases out differences between **perceived helpfulness** and correctness
2. Unconfident participants rated incorrect LLM responses as correct
3. **Interactive** evaluation of LLM outputs is key



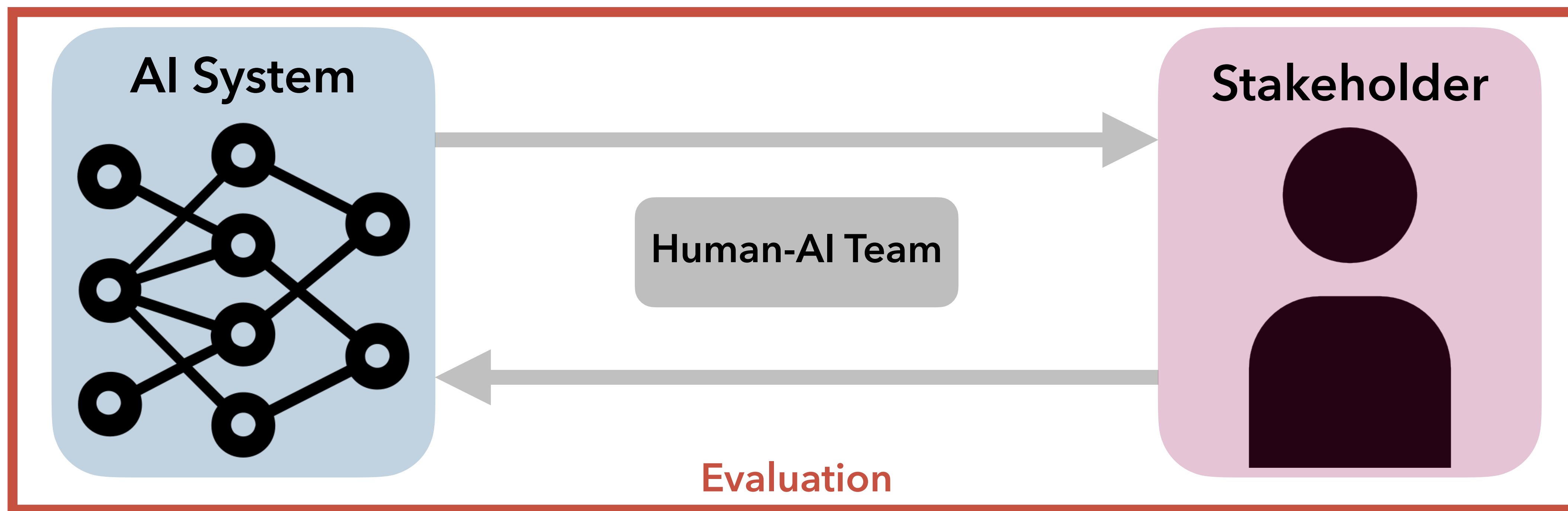
Interactive Human-Centered Evaluation



Regular users of LLMs ask for definitions rather than the query itself



Interactive Human-Centered Evaluation

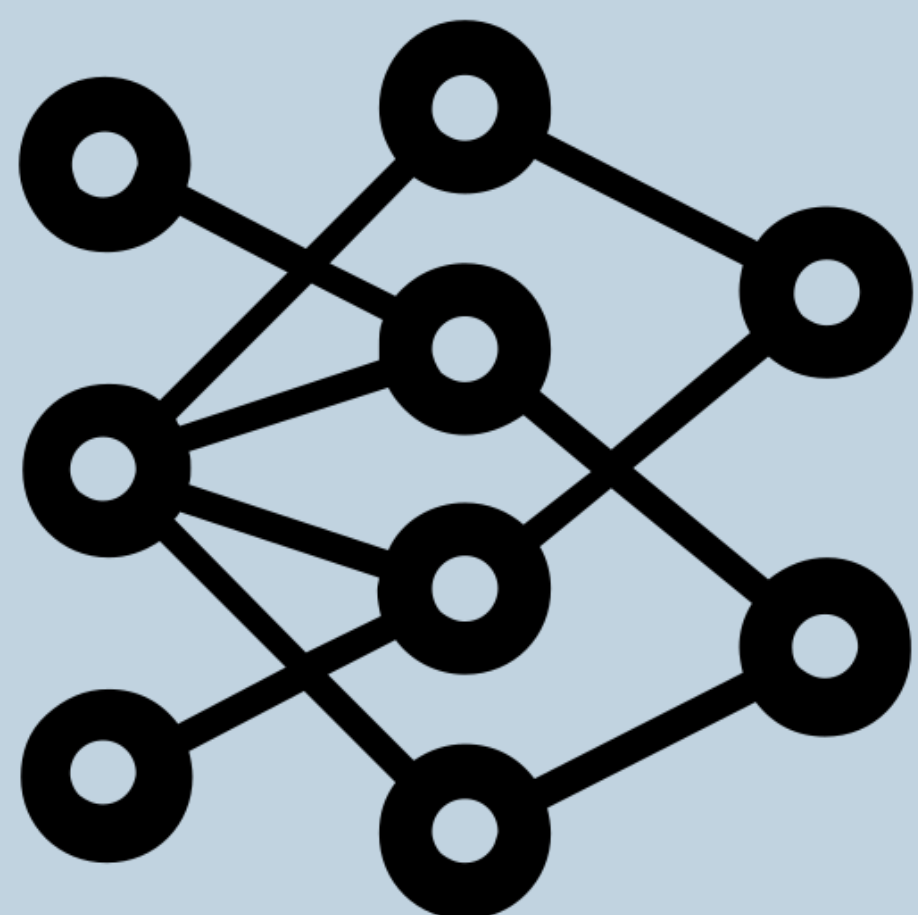


*What would interactive evaluation of LLMs look like for **humanities**, such as interpreting poetry or critiquing art?*

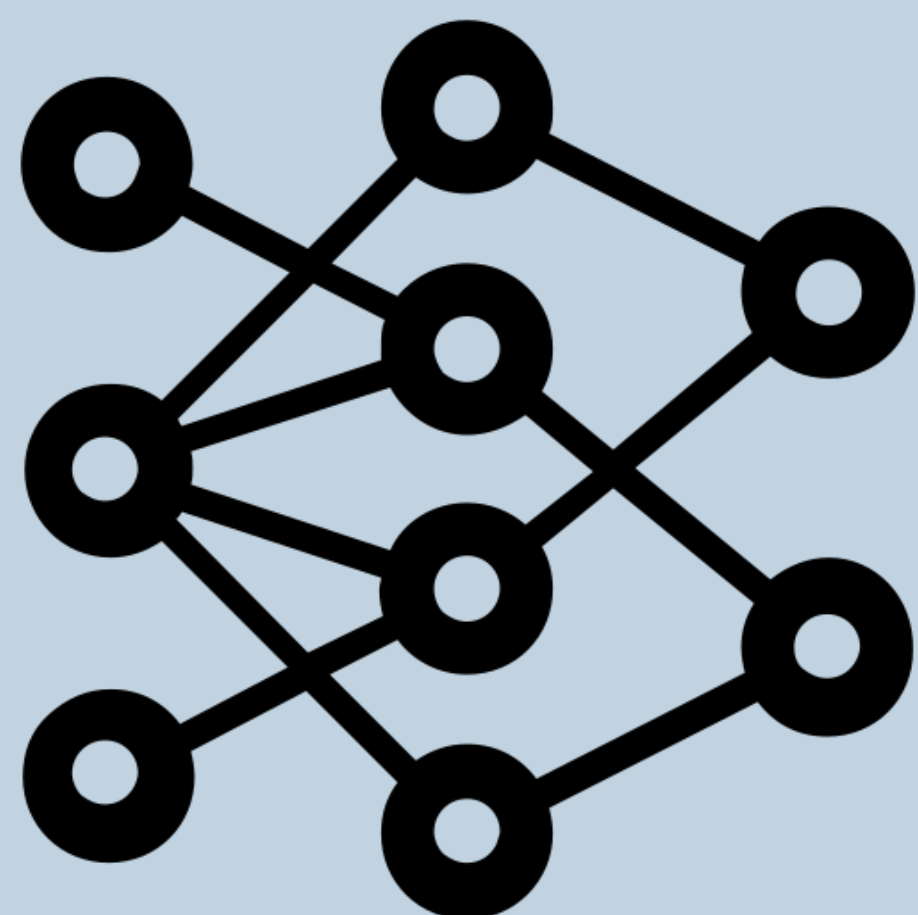


Interactive Human-Centered Evaluation

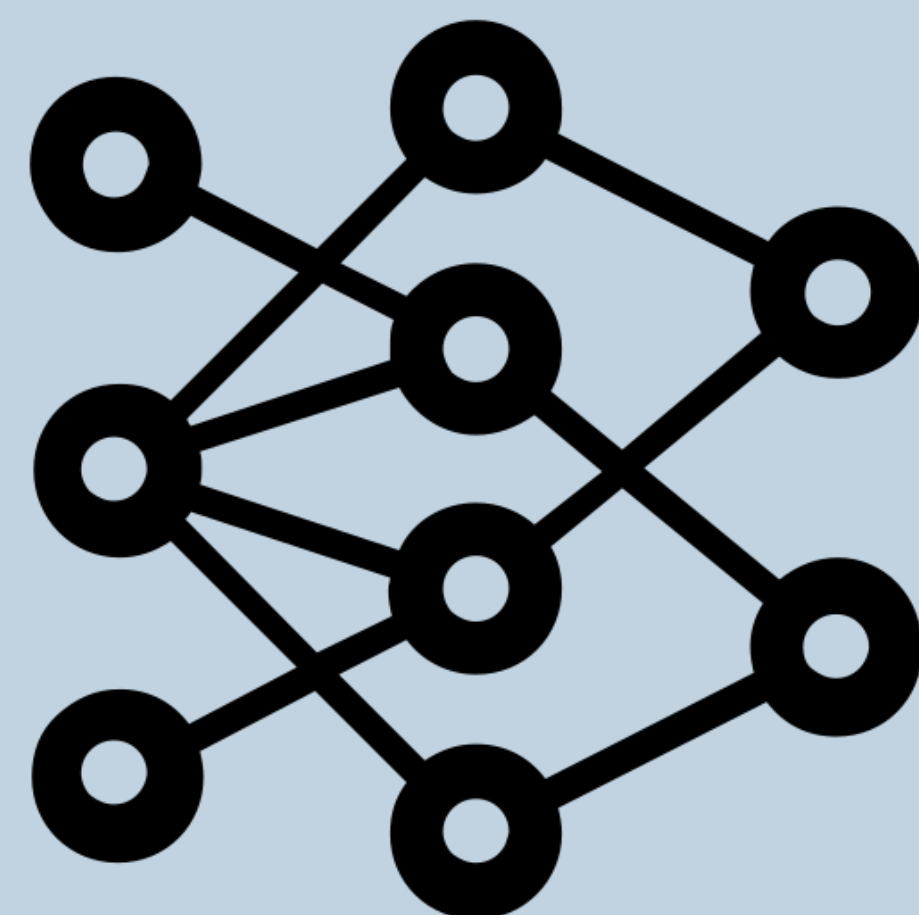
AI System



AI System



AI System



Evaluation

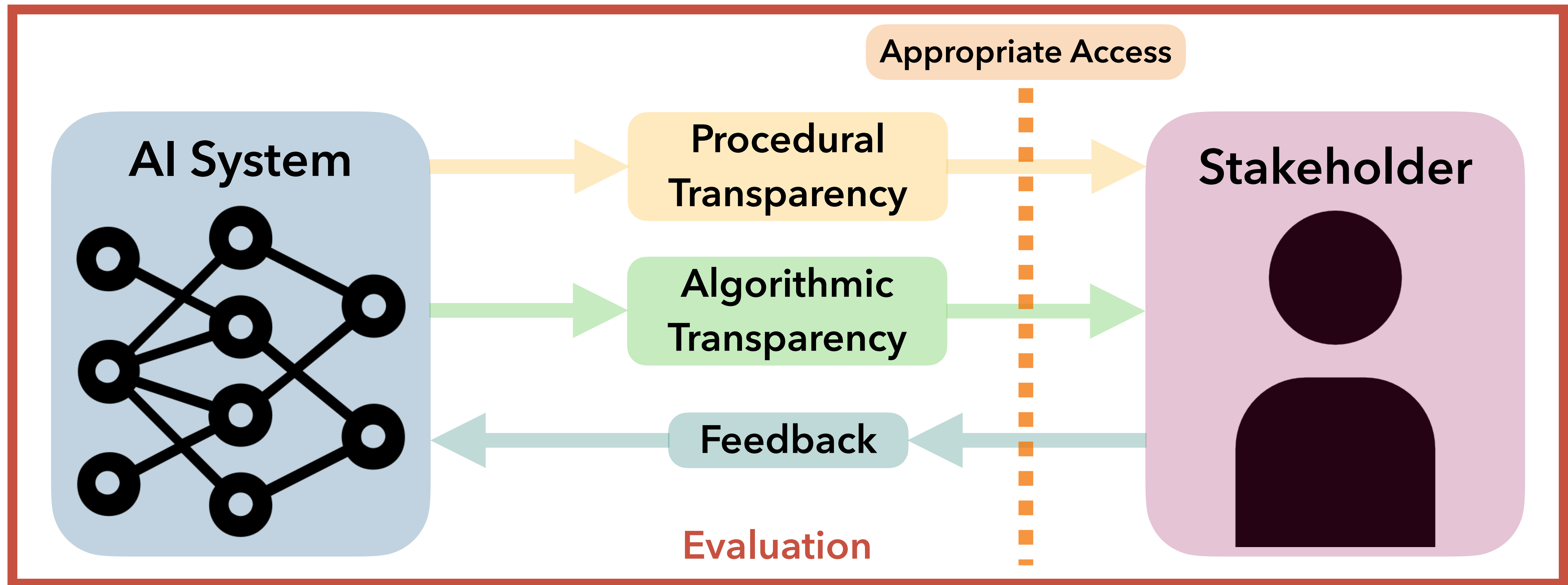
accenture

slalom

AISI | AI SAFETY INSTITUTE

How can we catalog how AI systems are deployed to understand their design, governance, and impact in practice?

Human-AI Team



Why CHIA?

My research spans multiple disciplines and various research CHIA programmes, including [Responsible AI](#), [Social/Interactive AI](#), and [Cognitive AI](#)

[Empowering](#) MPhil and PhD students to build **and** deploy AI inspired by their communities is important: practical coursework and rigorous a research

After spending time at Carnegie Mellon, NYU, and Harvard, I find the [Cambridge ecosystem](#) unmatched – I want to help CHIA establish itself as a powerhouse for practical human-AI interaction research

Computer Science & Engineering



Isabel Chien
Cambridge



J.M.H Lobato
Cambridge



Mateja Jamnik
Cambridge



Javier Antorán
Cambridge



Katie Collins
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Adrian Weller
Cambridge



José Moura
CMU



Valerie Chen
CMU



Ameet Talwalkar
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Design



Kendall Brogle
Turing



Emma Kallina
Cambridge



Becca Ricks
Mozilla



Dorian Peters
Imperial



Malak Sadek
Imperial

Policy & Law



John Zerilli
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Alice Xiang
Sony AI



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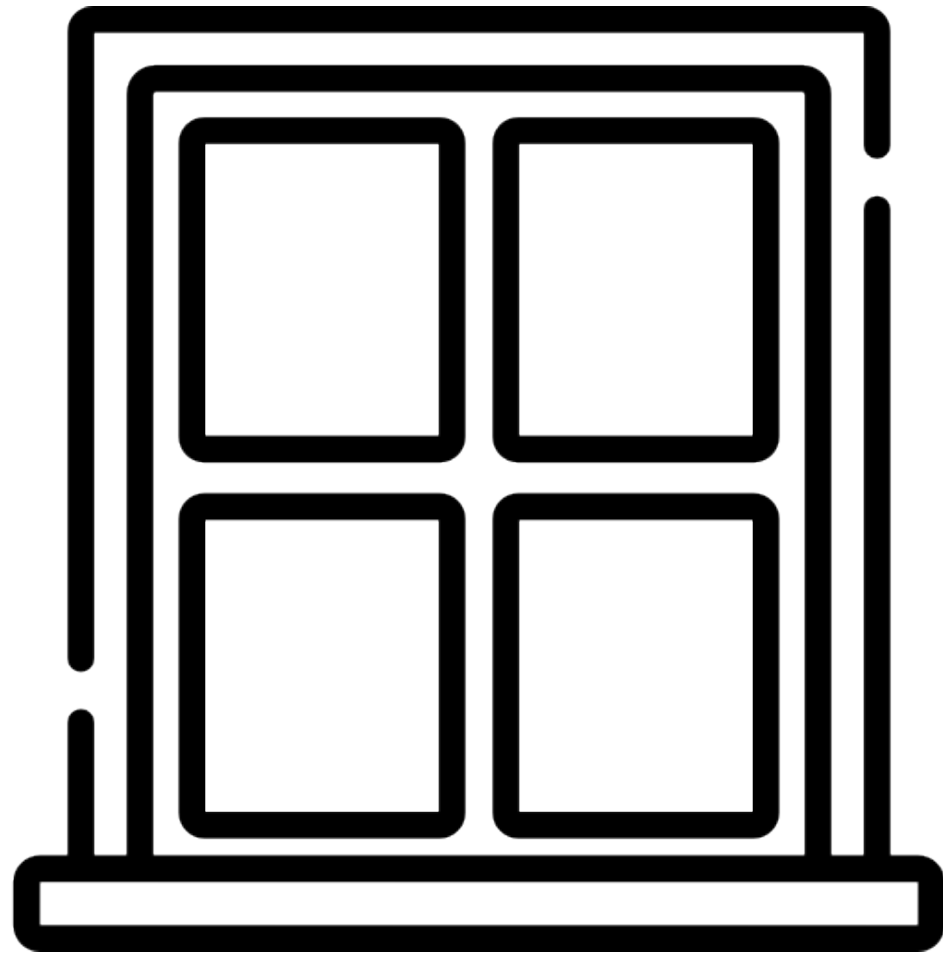
Karen Yeung
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Rotem Medzini
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Trustworthy Machine Learning

Transparency, Collaboration, and Evaluation

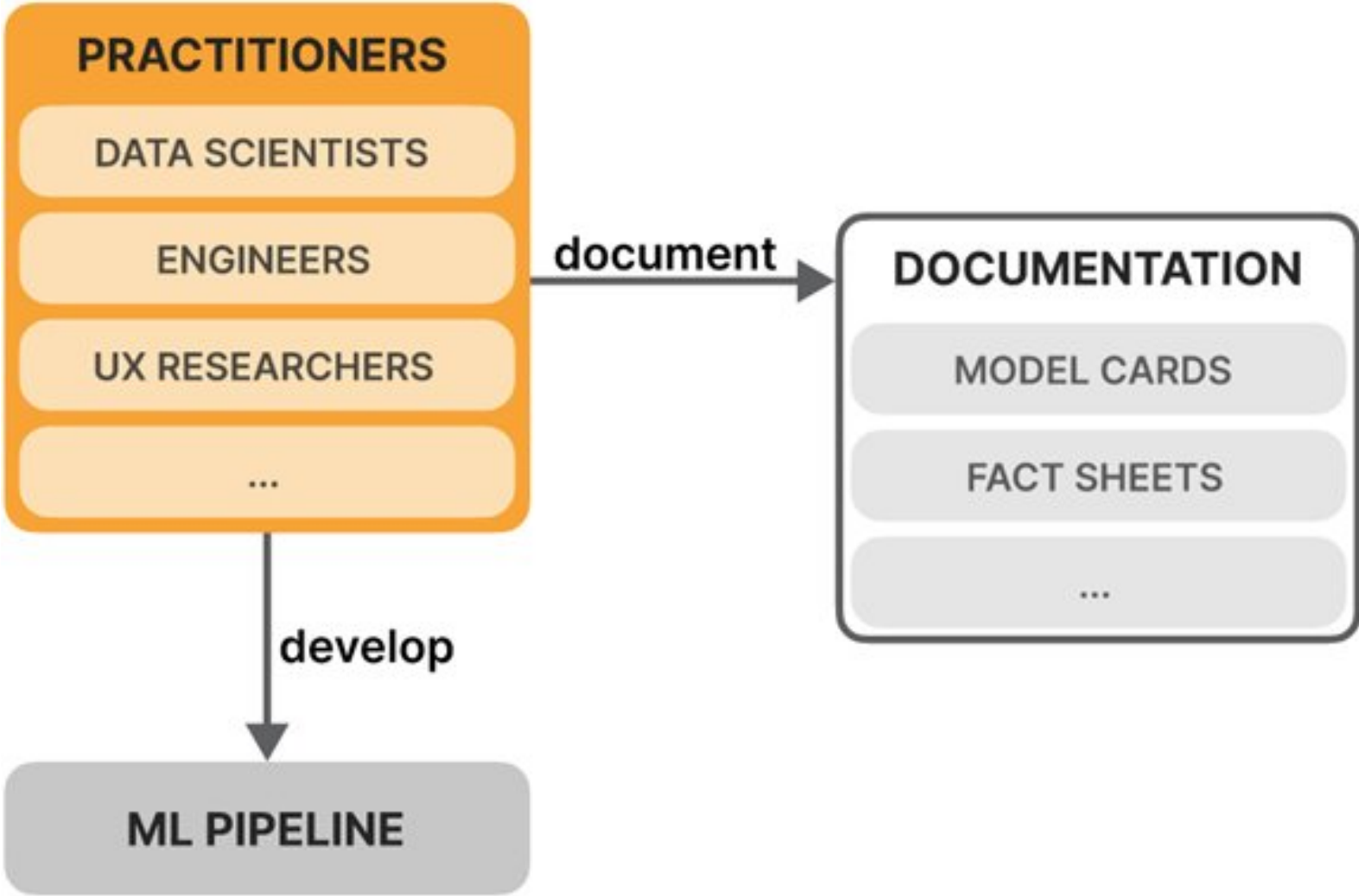


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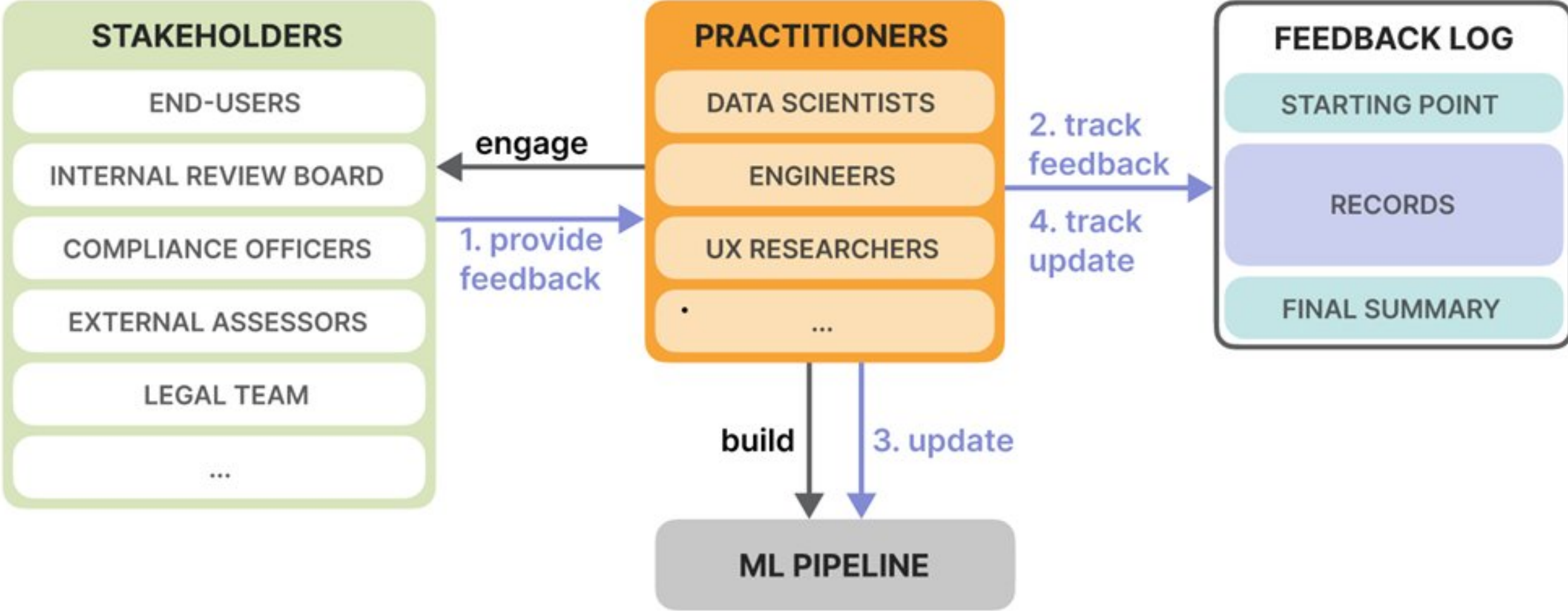
Appendix

FeedbackLogs

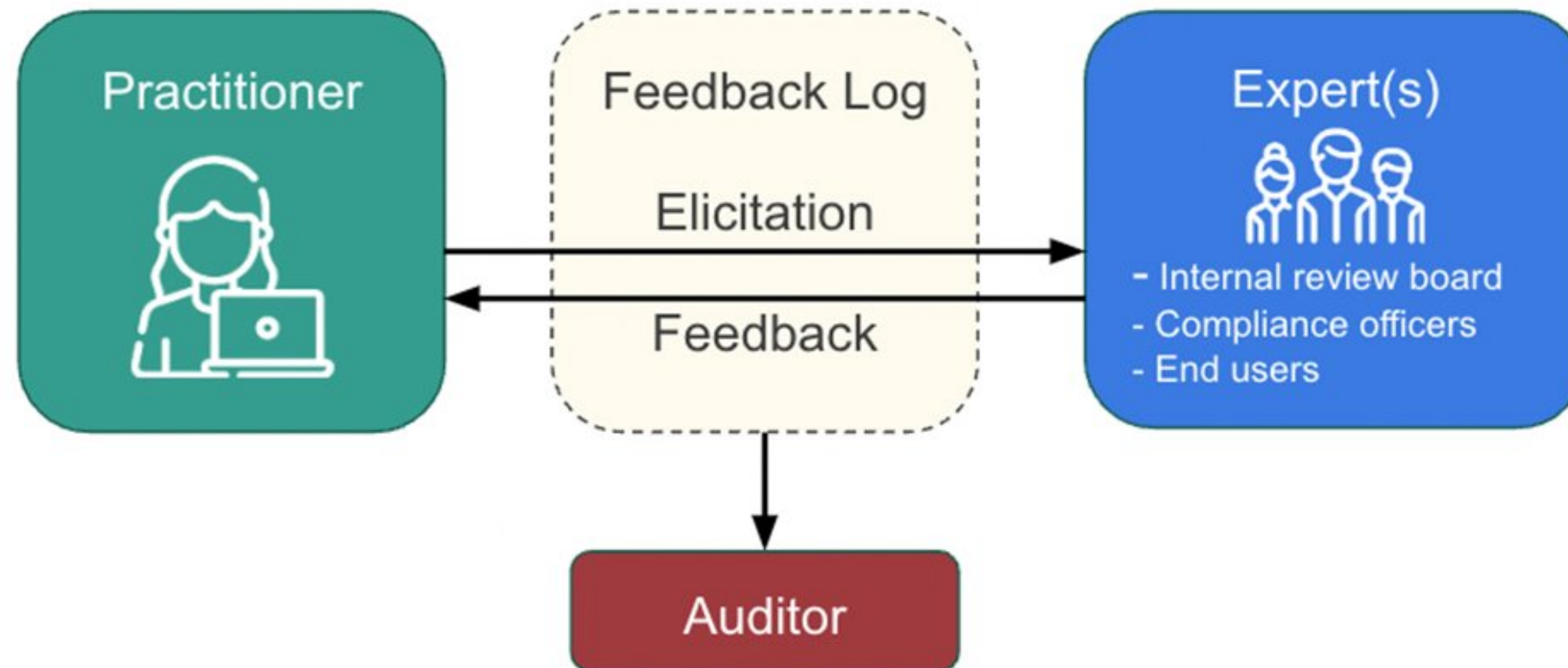
Existing Documentation



Feedback Logs



FeedbackLogs



FeedbackLogs

Starting Point

Data: Description of the dataset(s) used to train/test/validate the model.

Models: Description of the model(s) used and any existing design decisions.

Metrics: Description of the metrics used to evaluate the model(s) and their performance.

Record 1

Elicitation

Who and why? Which stakeholder(s) are being consulted? What prompted the request for feedback? e.g. legal requirements, poor performance on metrics.

How? How is the relevant information presented to them? e.g. model metrics, predictions, prototype.

Feedback

What? What insights have been provided by the stakeholder(s)?

Incorporation

Which?	Where?	When?	Why?	Effect?
Which updates are considered?	Where in the pipeline did the update occur?	When in the pipeline did the update occur?	Why has this update been selected?	What effect(s) did the update have on the metrics?
Update 1	x	x	x	x
Update 2	x	x	x	x
...

Summary

What? Summary of the update(s) chosen and their effect(s) on the metric(s).

Record 2

...

Final Summary

Data: Description of the dataset(s) used to train/test/validate the model after all updates have been applied.

Model: Description of model(s) used and any design changes resulting from the updates.

Metric performance: Description of the metrics to evaluate the model(s) and their performance after the above updates.



Explanation
Evaluation

IJCAI 2020
AAAI 2021

Assess properties of explanations



Model $f : \mathcal{X} \mapsto \mathcal{Y}$

Explanation
Function $g : \mathcal{F} \times \mathcal{X} \mapsto \mathbb{R}$

Problem: "There are many of candidate explanation methods (LIME, SHAP, etc.) but it is unclear how to decide when to use each."

Candidate Properties

Sensitivity: Do similar inputs have similar explanations?

$$\mu(f, g, x, r) = \int_{\rho(x,z) \leq r} D(g(f, x), g(f, z)) \mathbb{P}_x(z) dz$$

Faithfulness: Does the explanation capture features important for prediction?

$$\mu(f, g, x, S) = \text{corr}\left(\frac{1}{|S|} \sum_{i \in S} g(f, x)_i, f(x) - f(x_{[x_s = \bar{x}_s]})\right)$$

Complexity: Is the explanation digestible?

$$\mu(f, g, x) = H(x) = \mathbb{E}_i[-\ln(|g(f, x)_i|)]$$

We go on to show how to (A) **aggregate** multiple explanations into a consensus and (B) how to **optimize** an explanation for a selected criterion

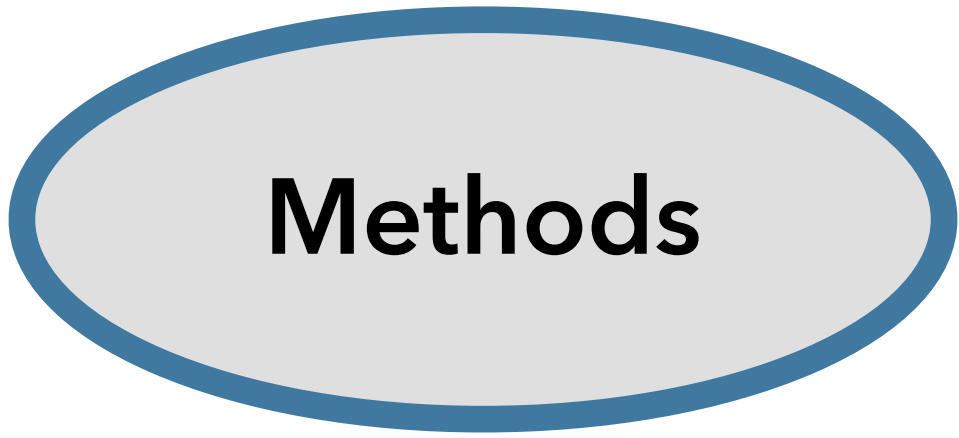


Policy Maker

Explanations
of Unfairness

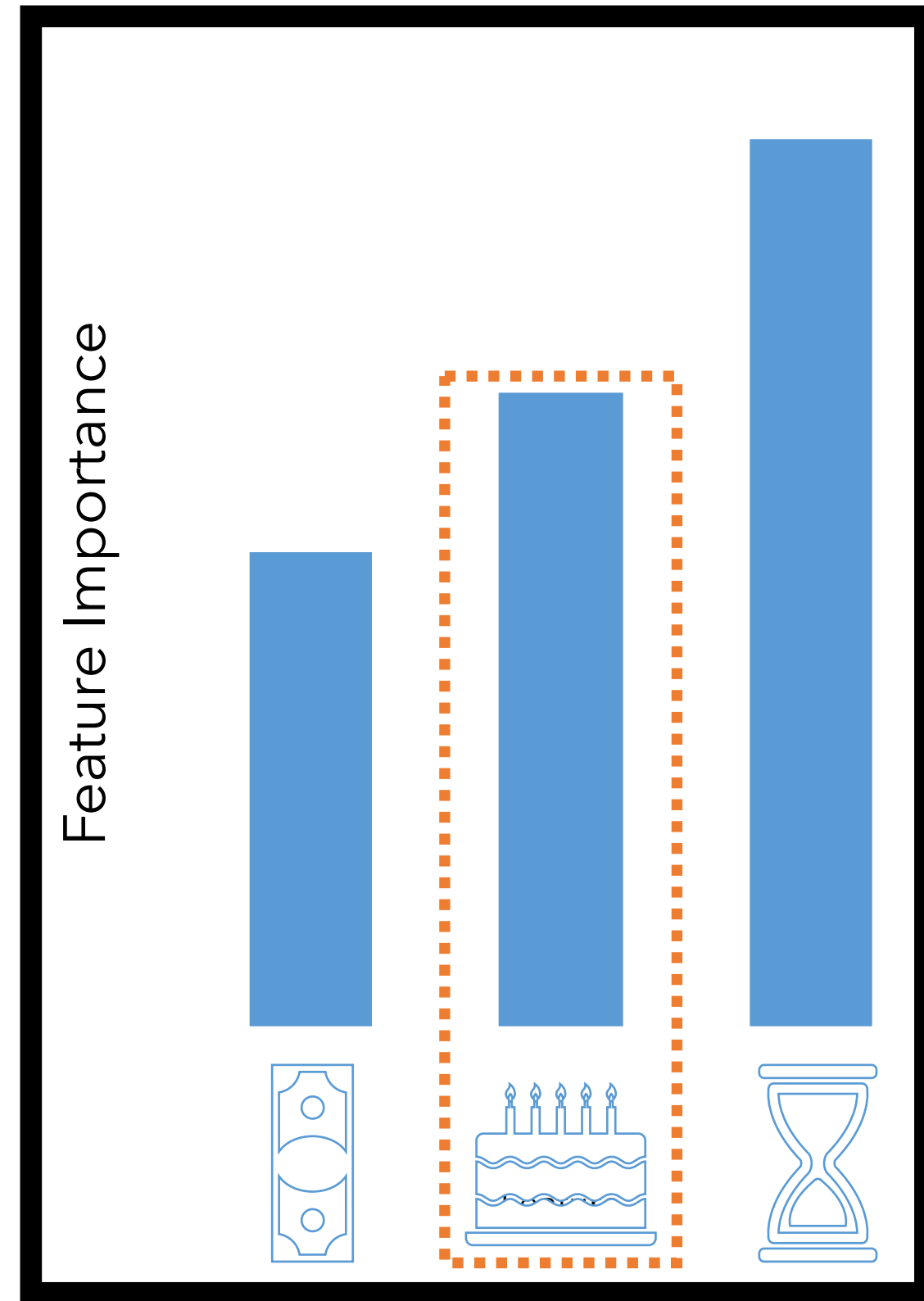
ECAI 2020
AAAI 2022a

Assure model fairness via explanations



Methods

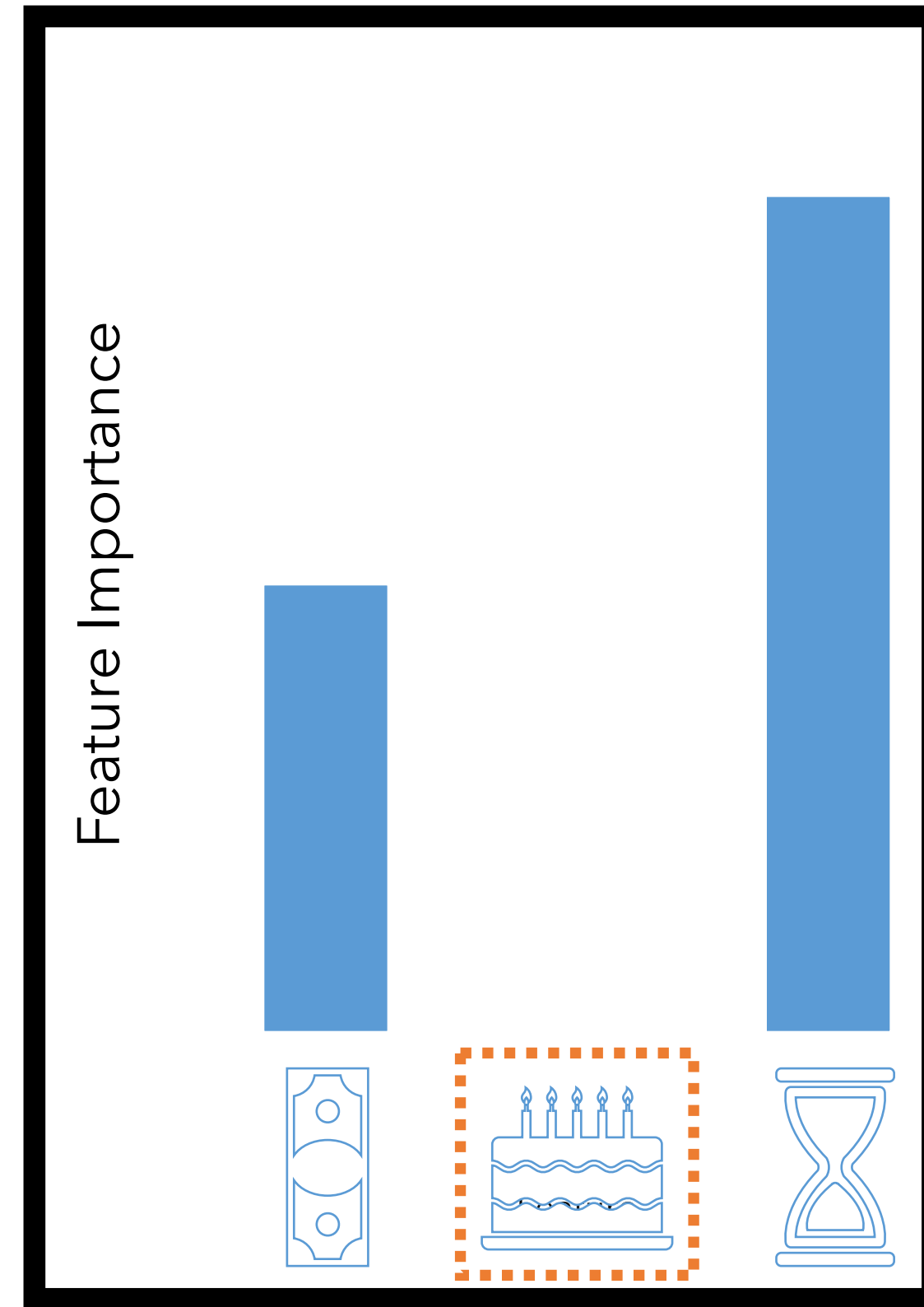
Model A



Unfair



Model B



Fair

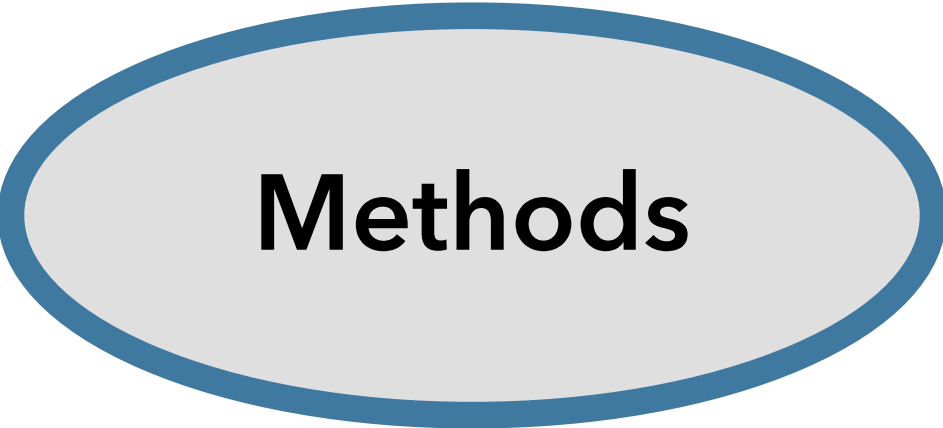


Policy Maker

Explanations of Unfairness

ECAI 2020
AAAI 2022a

Don't assume model fairness via explanations



Attribution of Sensitive Attribute

$$g(f, x)_j$$

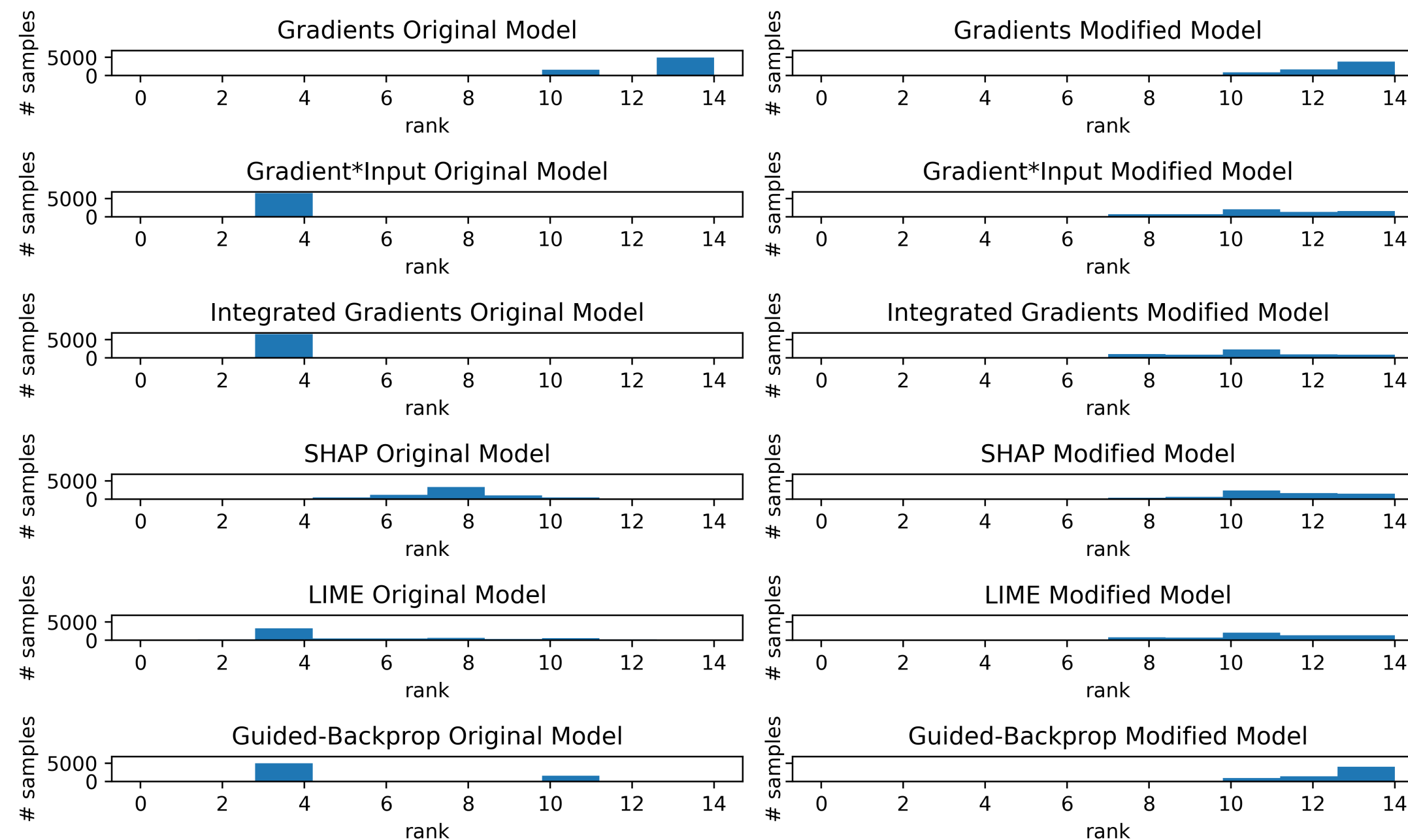
Our Goal $f_\theta \rightarrow f_{\theta+\delta}$

1. Model Similarity $\forall i, f_{\theta+\delta}(\mathbf{x}^{(i)}) \approx f_\theta(\mathbf{x}^{(i)})$

2. Low Target Attribution $\forall i, |g(f_{\theta+\delta}, \mathbf{x}^{(i)})_j| \ll |g(f_\theta, \mathbf{x}^{(i)})_j|$

Adversarial Explanation Attack

$$\operatorname{argmin}_\delta L' = L(f_{\theta+\delta}, x, y) + \frac{\alpha}{n} \left\| \left\| \nabla_{\mathbf{x}_{:,j}} L(f_{\theta+\delta}, x, y) \right\| \right\|_p$$



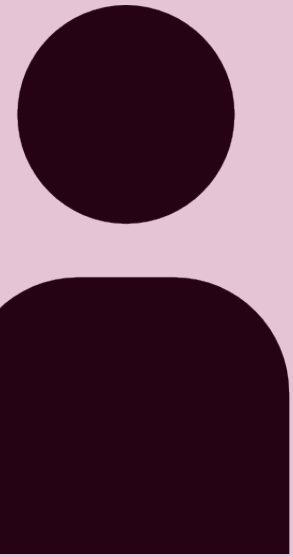
Our proposed attack:

1. **Decreases** relative importance significantly.
2. **Generalizes** to test points.
3. **Transfers** across explanation methods.

Heo, Joo, Moon. *Fooling Neural Network interpretations via adversarial model manipulation*. NeurIPS. 2019.

Dimanov, B, Jamnik, Weller. *You shouldn't trust me: Learning models which conceal unfairness from multiple explanation methods*. ECAI. 2020.

Risk Executive

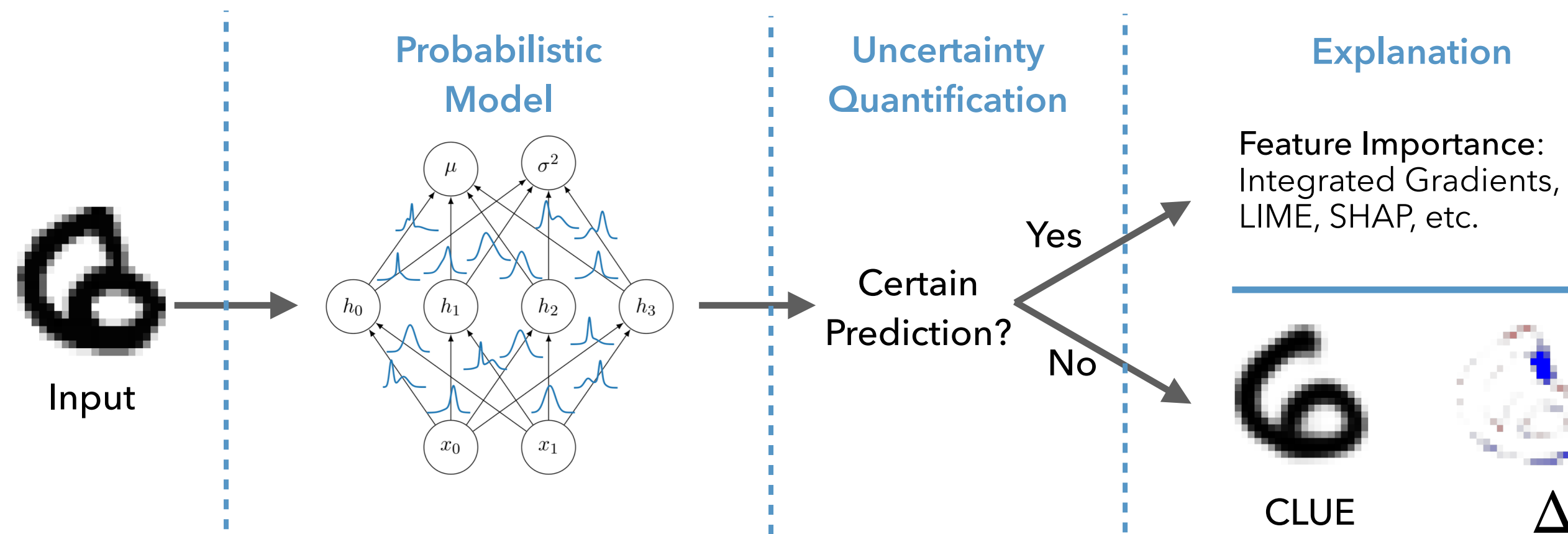


Explanations of Uncertainty

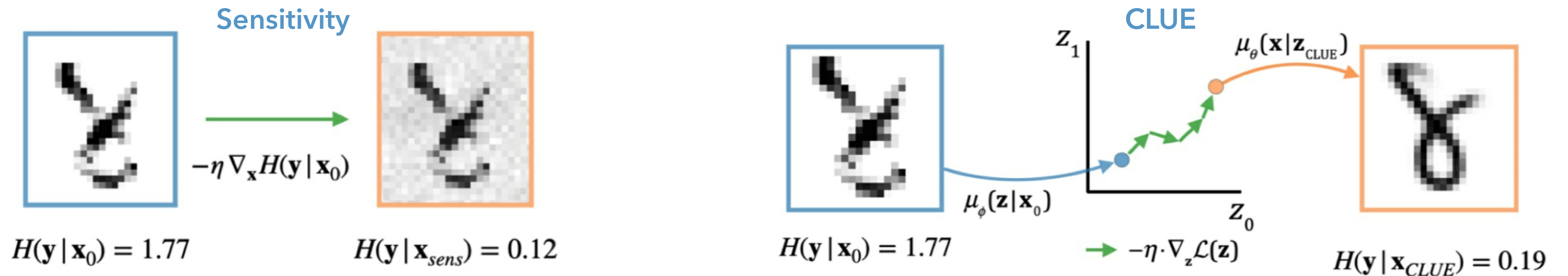
CLUE: Counterfactual Latent Uncertainty Explanations

Methods

Question: "Where in my input does uncertainty about my outcome lie?"



Formulation: What is the smallest change we need to make to an input, while staying in-distribution, such that our model produces more certain predictions?



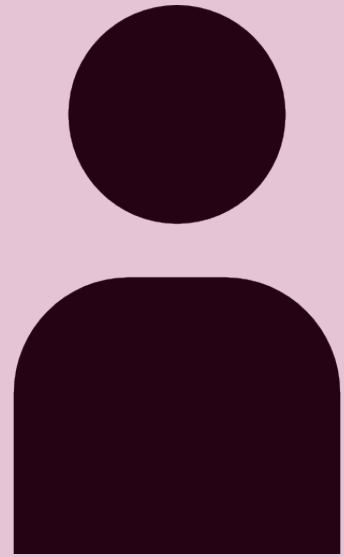
Antoran, B, Adel, Weller, Hernandez-Lobato. Getting a CLUE: A Method for Explaining Uncertainty Estimates. ICLR. 2021.

Ley, B, Weller. Diverse and Amortised Counterfactual Explanations for Uncertainty Estimates. AAAI. 2022.

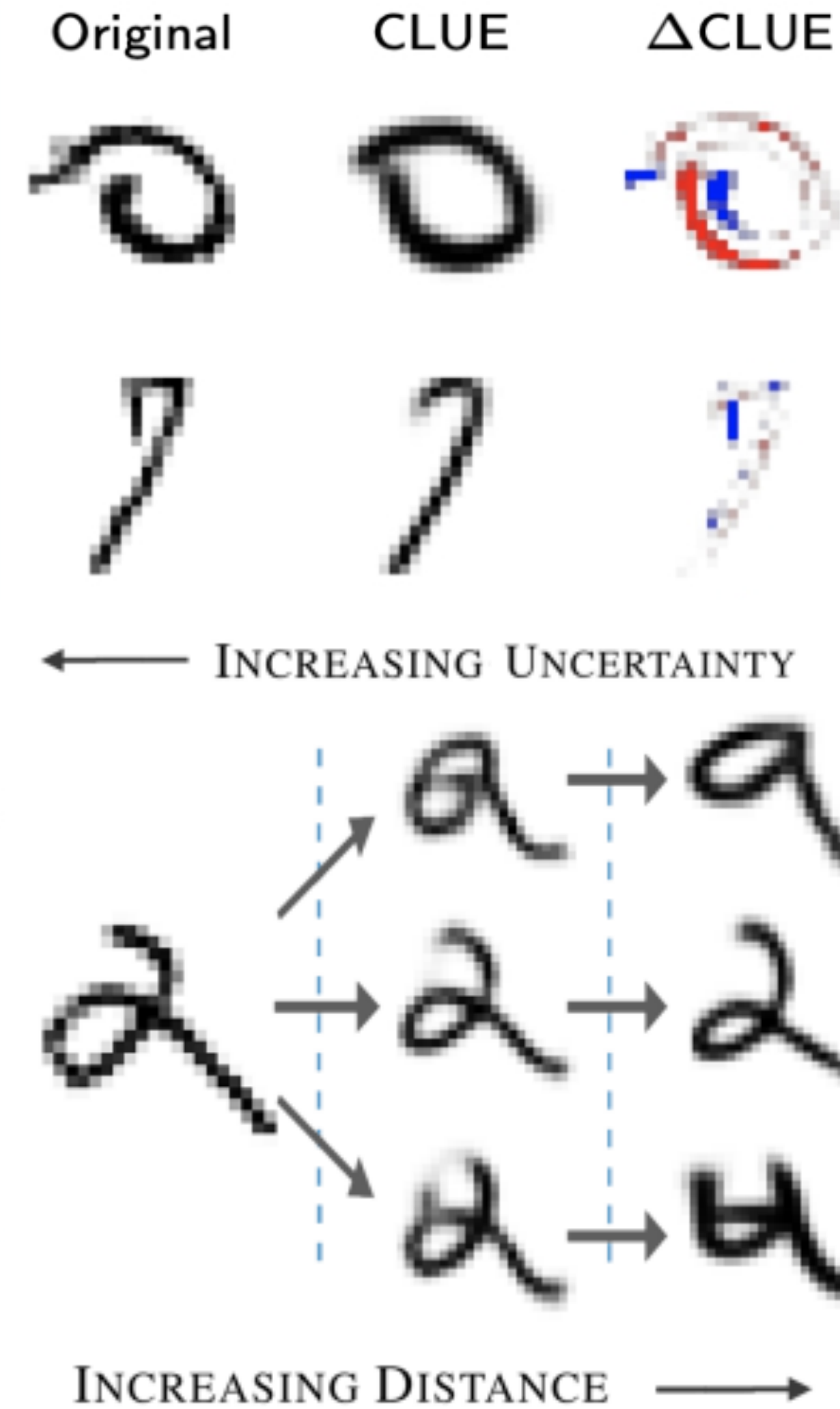
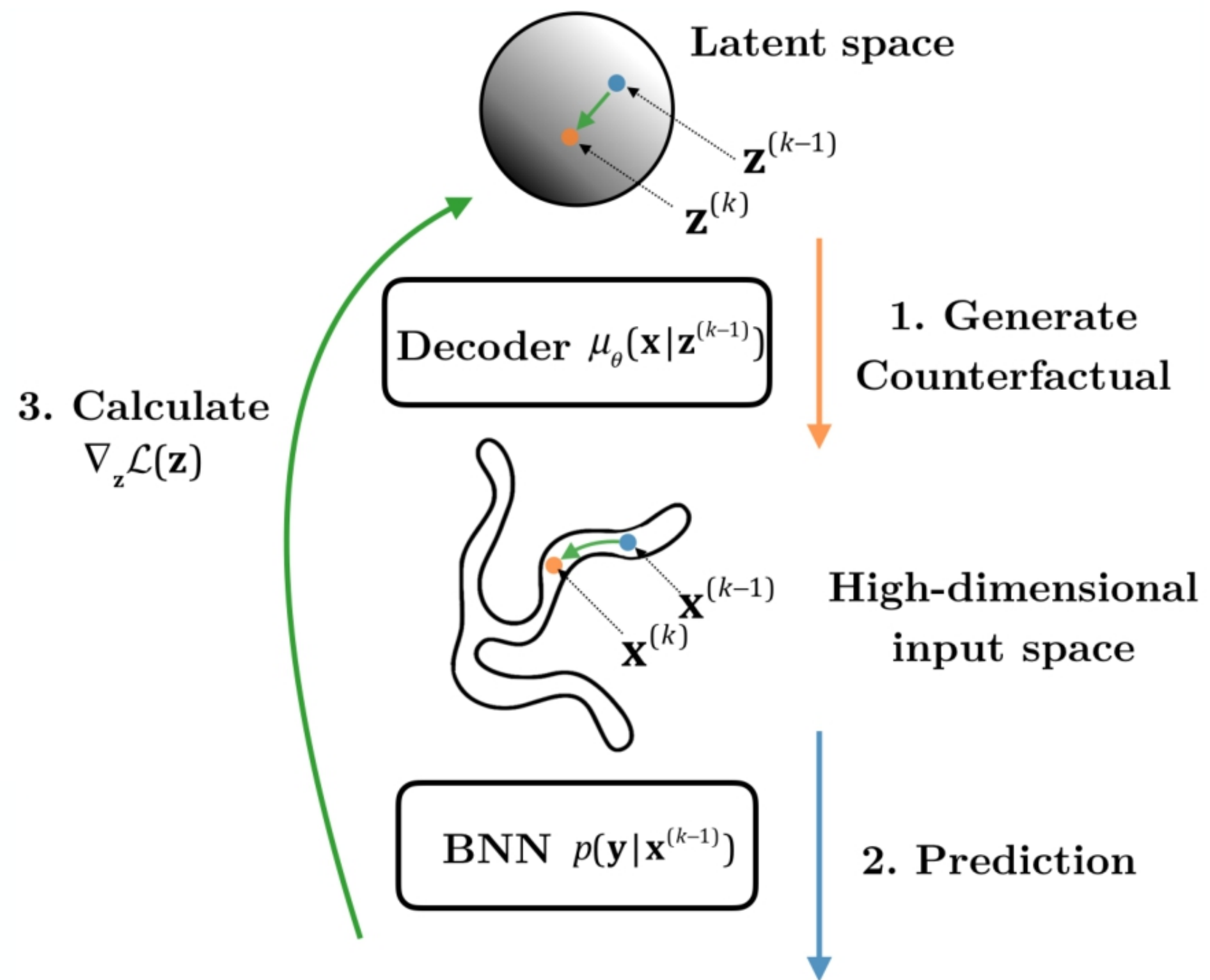
CLUE: Counterfactual Latent Uncertainty Explanations

Methods

Risk Executive



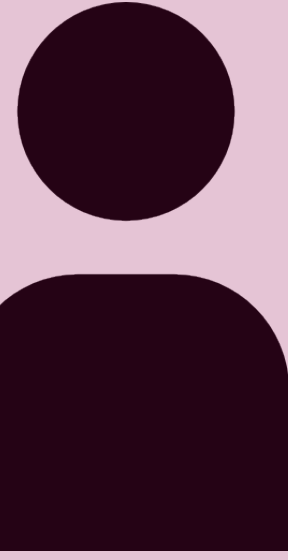
Explanations of Uncertainty



Antoran, **B**, Adel, Weller, Hernandez-Lobato. *Getting a CLUE: A Method for Explaining Uncertainty Estimates*. ICLR. 2021.

Ley, **B**, Weller. *Diverse and Amortised Counterfactual Explanations for Uncertainty Estimates*. AAAI. 2022.

Risk Executive



Explanations of Uncertainty

CLUE: Counterfactual Latent Uncertainty Explanations

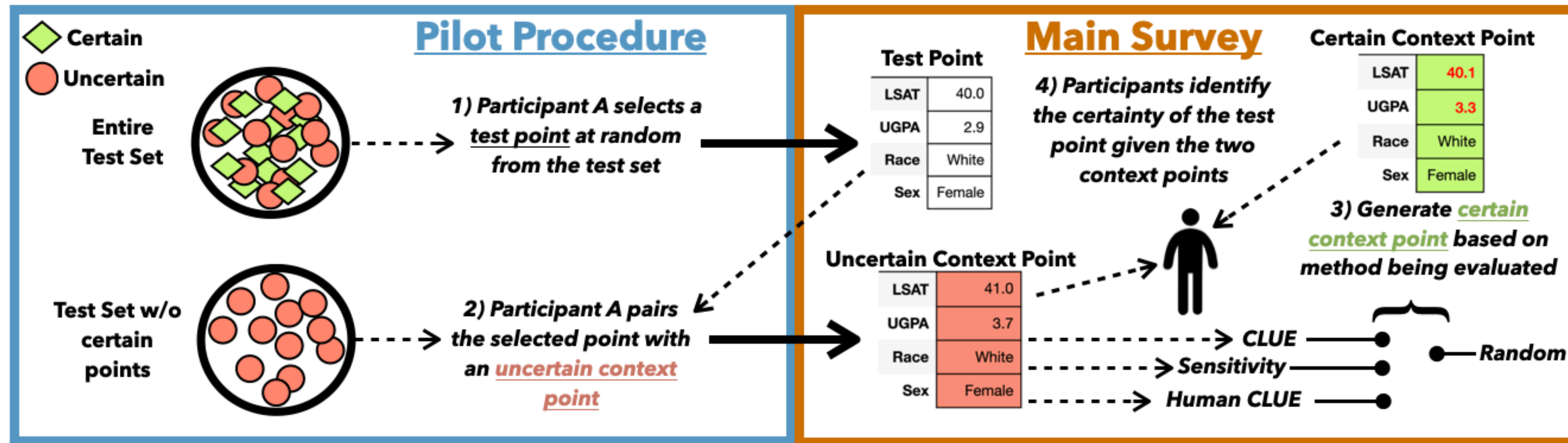
User Studies

Forward Simulation: Users are shown context examples and are tasked with predicting model behavior on new datapoint.

Uncertain		Certain		?	
Age	Less than 25	Age	Less than 25	Age	Less than 25
Race	Caucasian	Race	African-American	Race	Hispanic
Sex	Male	Sex	Male	Sex	Male
Current Charge	Misdemeanour	Current Charge	Misdemeanour	Current Charge	Misdemeanour
Reoffended Before	Yes	Reoffended Before	No	Reoffended Before	No
Prior Convictions	1	Prior Convictions	0	Prior Convictions	0
Days Served	0	Days Served	0	Days Served	0

	Combined	LSAT	COMPAS
CLUE	82.22	83.33	81.11
Human CLUE	62.22	61.11	63.33
Random	61.67	62.22	61.11
Local Sensitivity	52.78	56.67	48.89

CLUE outperforms other approaches with statistical significance. (Using Nemenyi test for average ranks across test questions)



Antoran, B, Adel, Weller, Hernandez-Lobato. Getting a CLUE: A Method for Explaining Uncertainty Estimates. ICLR. 2021.

Ley, B, Weller. Diverse and Amortised Counterfactual Explanations for Uncertainty Estimates. AAAI. 2022.

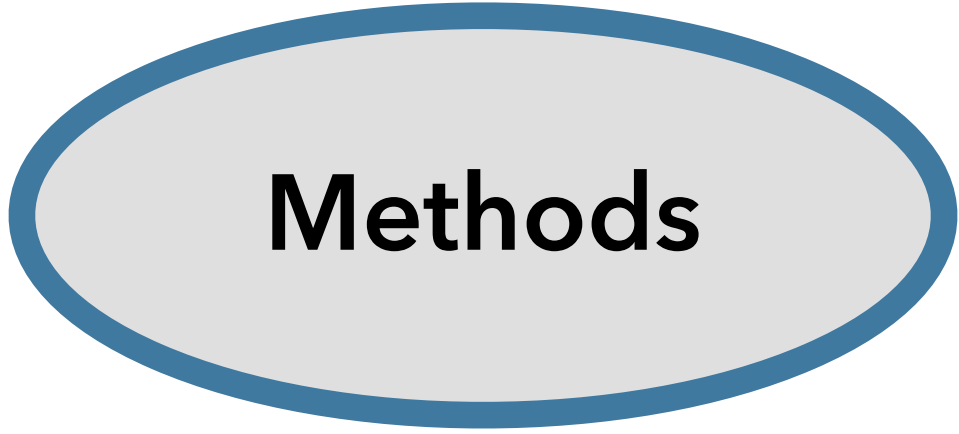


Radiologist

Prediction Sets

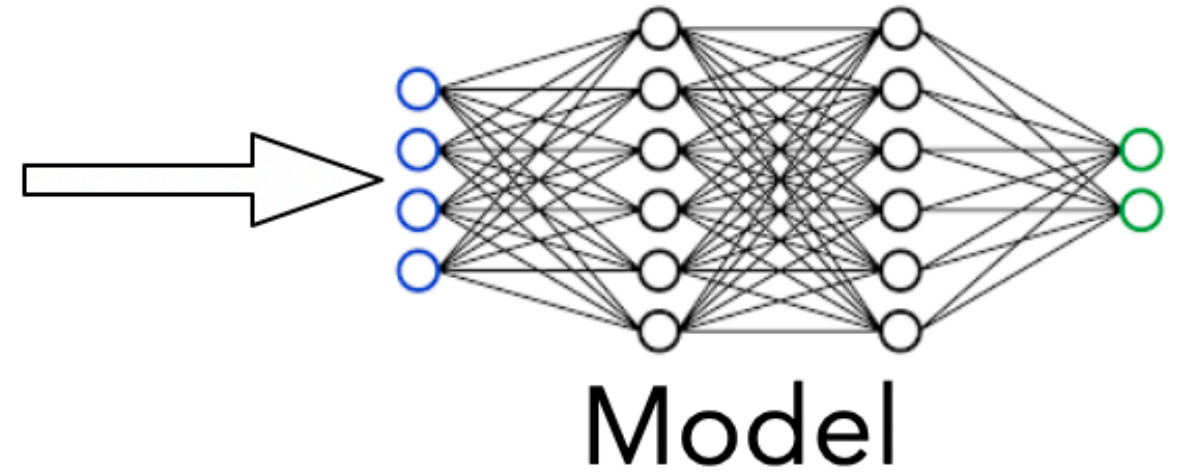
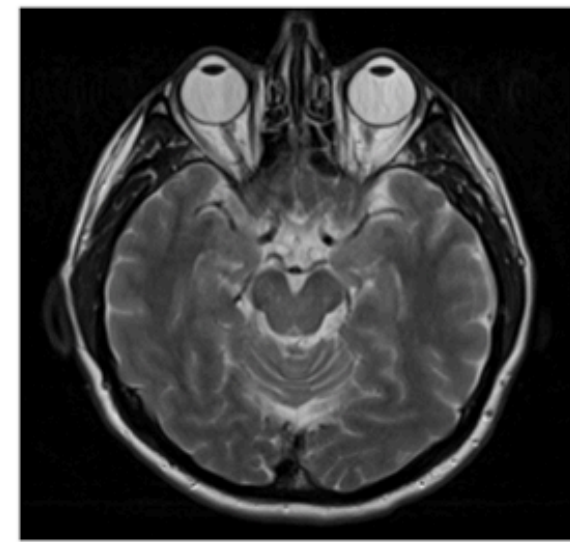
IJCAI 2022

Generate prediction sets for experts



Methods

Question: "What other outcomes are probable?"

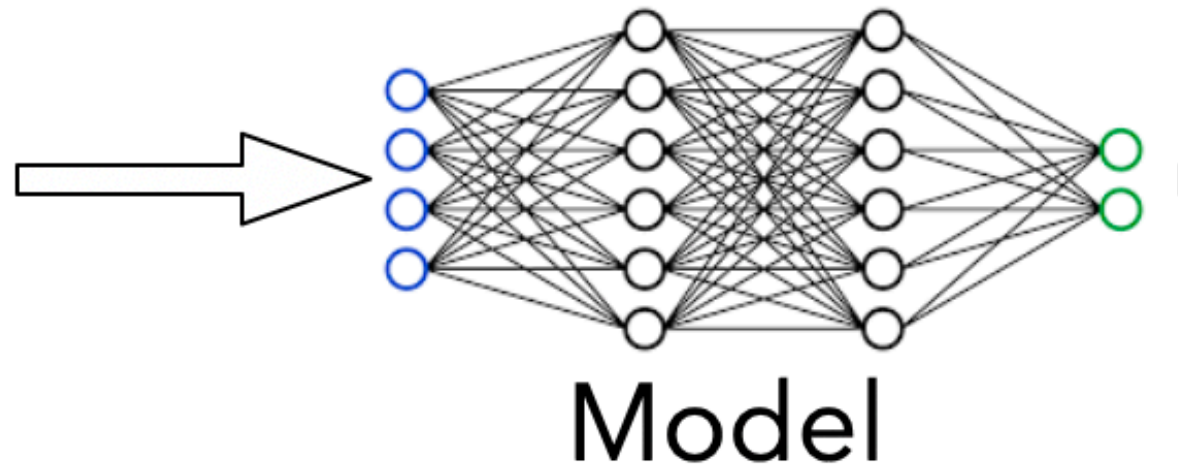
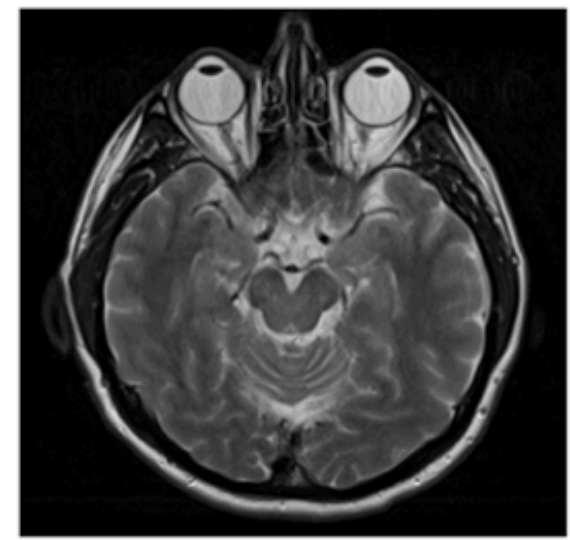


Model

Concussion

Most Probable Label

Top-1 Classifier



Model

{Concussion, Tumour}

95 % Confidence Set

Set Valued Classifier

Prediction Set

$$\Gamma(x) = \{y \in \mathcal{Y} \mid P(y|x) \geq \tau\}$$

Conformal Prediction

$$FNR \leq \alpha \equiv P(y \notin \Gamma(x)) \leq \alpha$$

Risk Controlling Prediction Sets

$$P(\underbrace{\mathbb{E}[L(y, \Gamma(x))]}_{\text{Risk}} \leq \alpha) \geq 1 - \delta$$

Risk

Vovk, Gammerman, Shafer. Algorithms in the Real World. 2005

Bates, Angelopoulos, Lei, Malik, Jordan. Distribution-Free, Risk-Controlling Prediction Sets. Journal of the ACM. 202.

Babbar, B, Weller. On the Utility of Prediction Sets in Human-AI Teams. IJCAI. 2022.

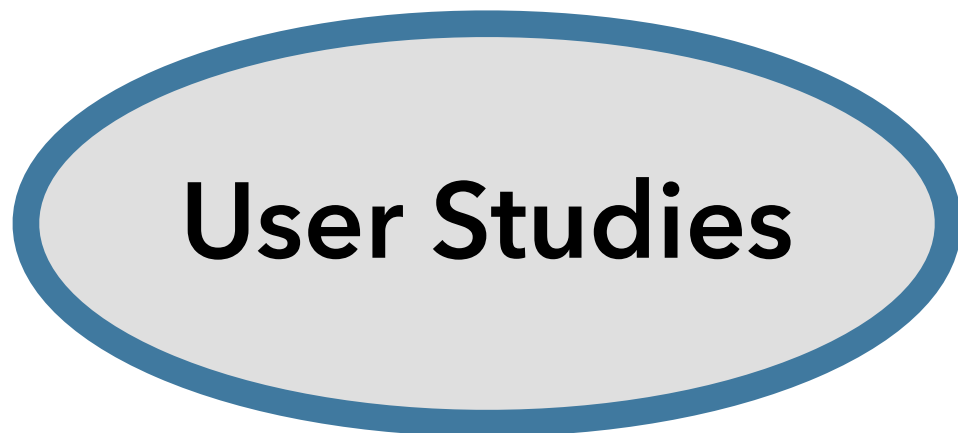


Radiologist

Prediction Sets

IJCAI 2022

Generate prediction sets for experts



User Studies

Question: Do prediction sets improve human-machine team performance?

A CP Scheme!

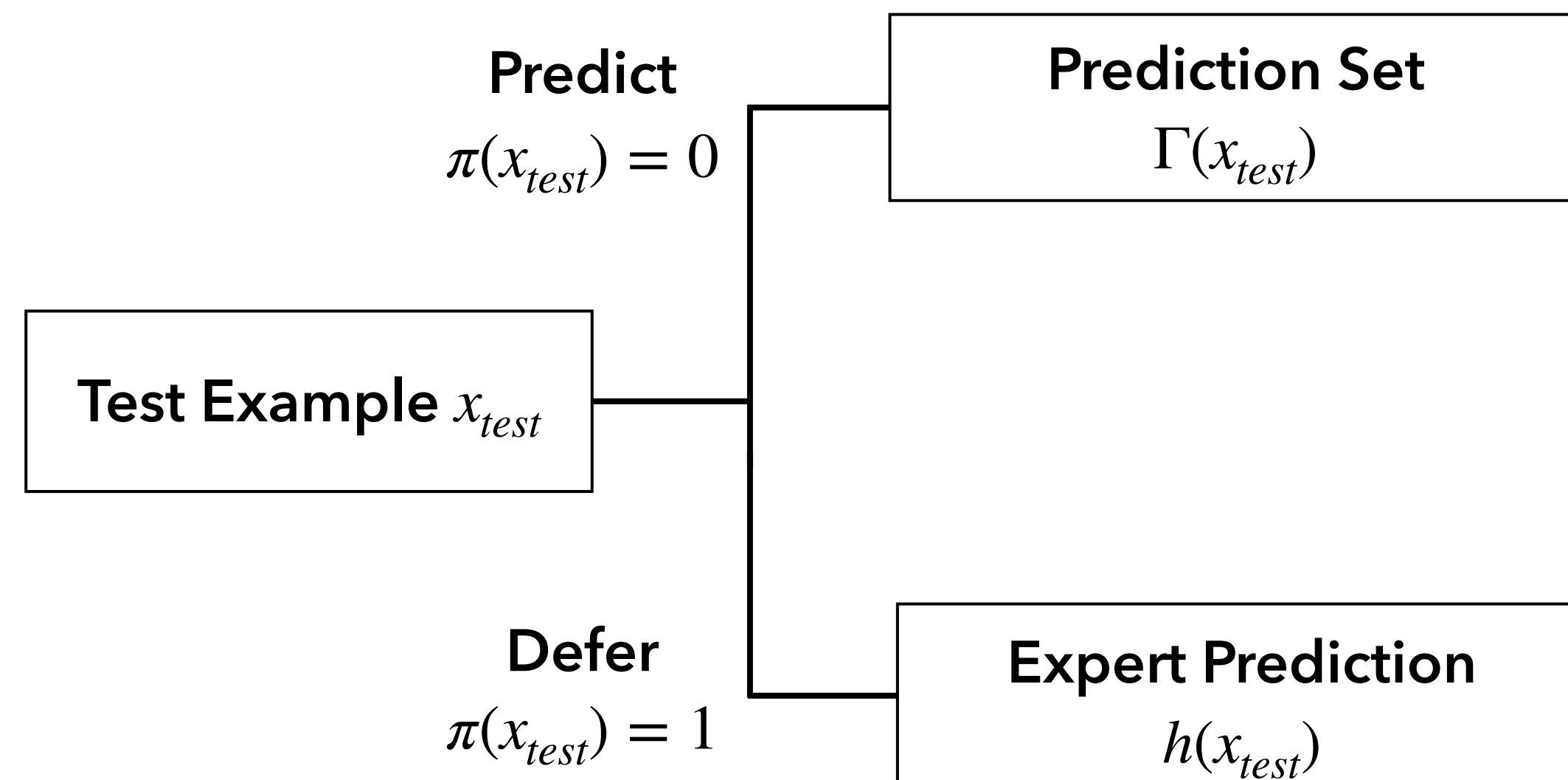
For CIFAR-100:

- 1. Prediction sets are perceived to be more useful ✓
- 2. Users trust prediction sets more than Top-1 classifiers ✓

Metric	Top-1	RAPS	p value	Effect Size
Accuracy	0.76 ± 0.05	0.76 ± 0.05	0.999	0.000
Reported Utility	5.43 ± 0.69	6.94 ± 0.69	0.003	1.160
Reported Confidence	7.21 ± 0.55	7.88 ± 0.29	0.082	0.674
Reported Trust in Model	5.87 ± 0.81	8.00 ± 0.69	< 0.001	1.487

Observation: Some prediction sets can be quite large, rendering them useless to experts!

Idea: Learn a deferral policy $\pi(x) \in \{0,1\}$ and reduce prediction set size on remaining examples



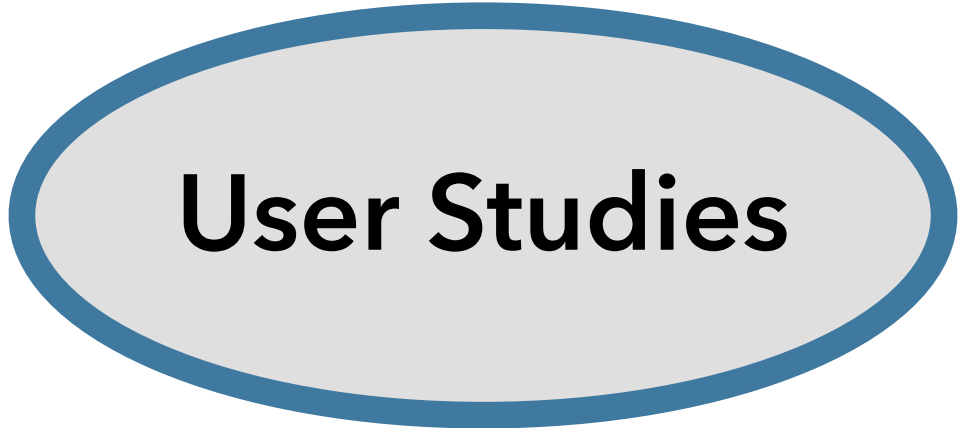


Radiologist

Prediction Sets

IJCAI 2022

Generate prediction sets for experts



User Studies

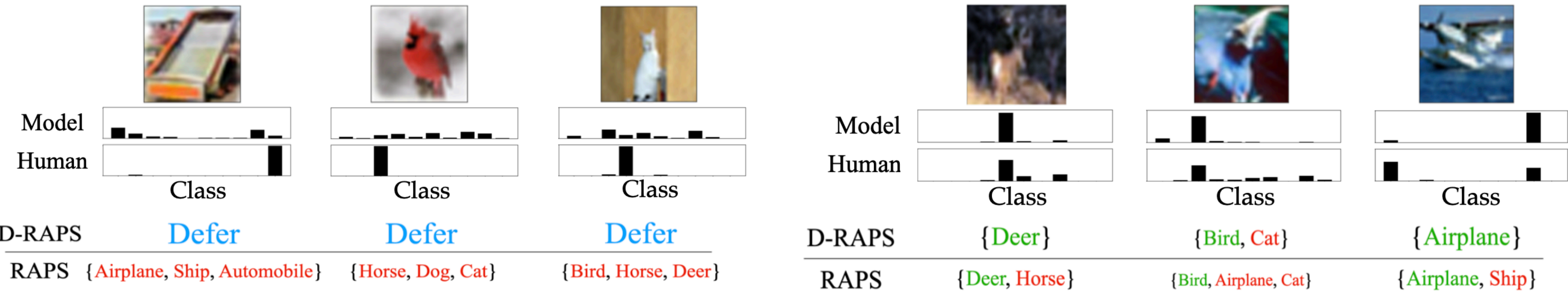
Metric	D-RAPS	RAPS	<i>p</i> value	Effect Size
Accuracy	0.76 ± 0.08	0.67 ± 0.05	0.003	0.832
Reported Utility	7.93 ± 0.39	6.32 ± 0.60	< 0.001	1.138
Reported Confidence	7.31 ± 0.29	7.28 ± 0.29	0.862	0.046
Reported Trust in Model	8.00 ± 0.45	6.87 ± 0.61	0.006	0.754

Using our deferral plus prediction set scheme, we achieve:

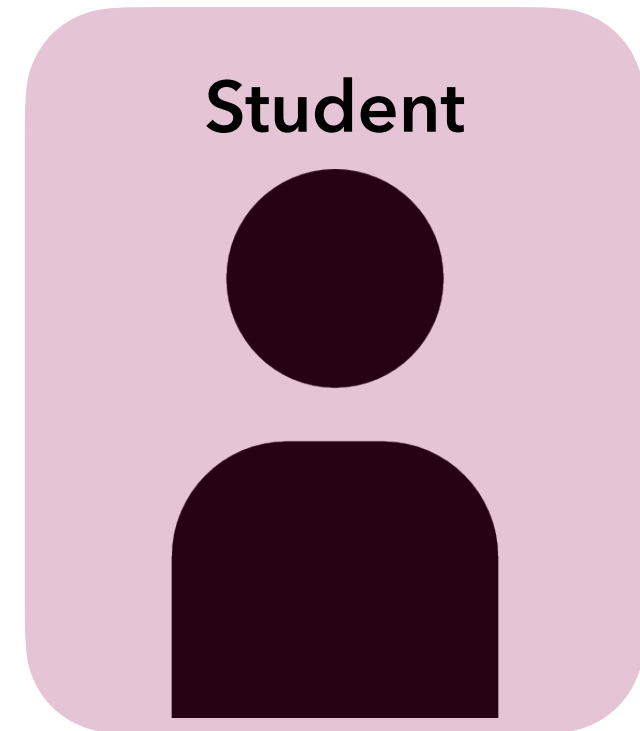
- 1. Higher perceived utility ✓
- 2. Higher reported trust ✓
- 3. Higher team accuracy ✓

Model Uncertain — Humans Confident

Model Confident — Humans Uncertain

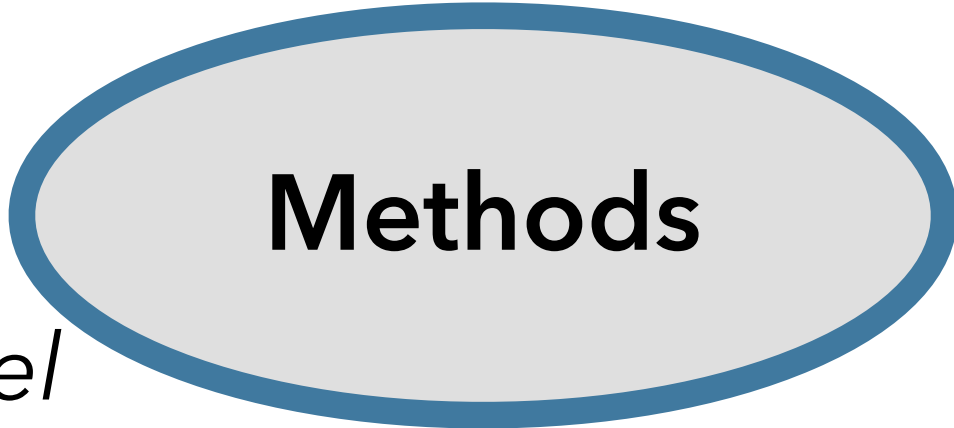


We also (A) *prove* that set size is reduced for the non-deferred examples and (B) *optimize* for additional set properties (e.g., sets with similar labels).

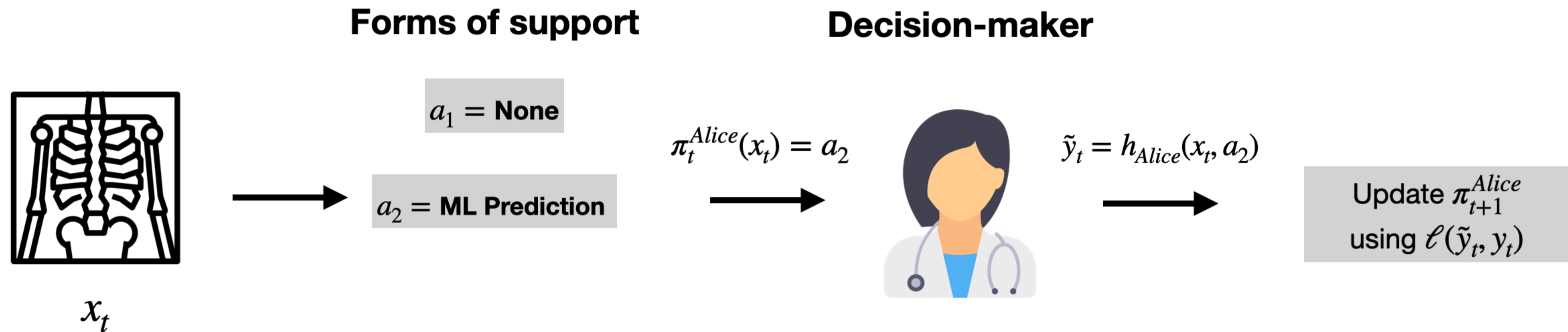


Personalize
Access

Learning Personalized Decision Support Policies



Question: "When is it appropriate to provide decision support (e.g. ML model predictions) to a specific decision-maker?"



Formulation: For an unseen decision-maker, which available form of decision support would improve their decision outcome performance the most?

Set Up

We select a form of support $a_t \in A$ using a decision support policy $\pi_t : X \rightarrow \Delta(A)$

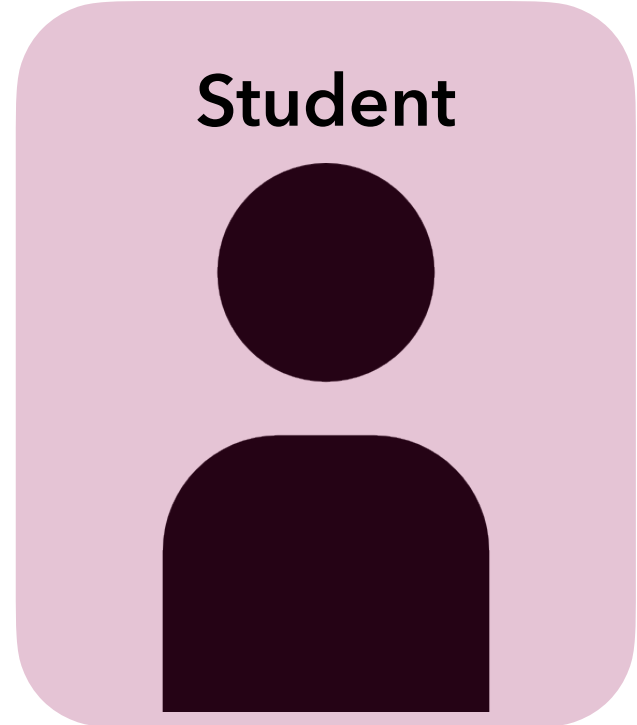
The decision-maker makes the final prediction: $\tilde{y}_t = h(x_t, a_t)$

Performance differs under each form of support: $r_{A_i}(x; h) = \mathbb{E}_{y|x}[\ell(y, h(x, A_i))]$

Core Idea of THREAD

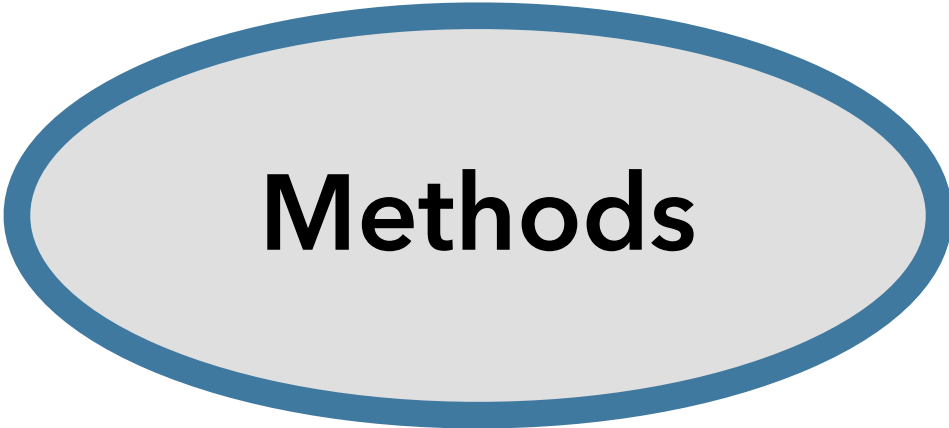
Learn policy π_t using an existing contextual bandits techniques

Include cost of a_t in the objective



Personalize
Access

Learning Personalized Decision Support Policies



MMLU Task: 60 questions from 4 categories
Computer Science, Elementary Math, Biology, Foreign Policy

Expertise Profiles

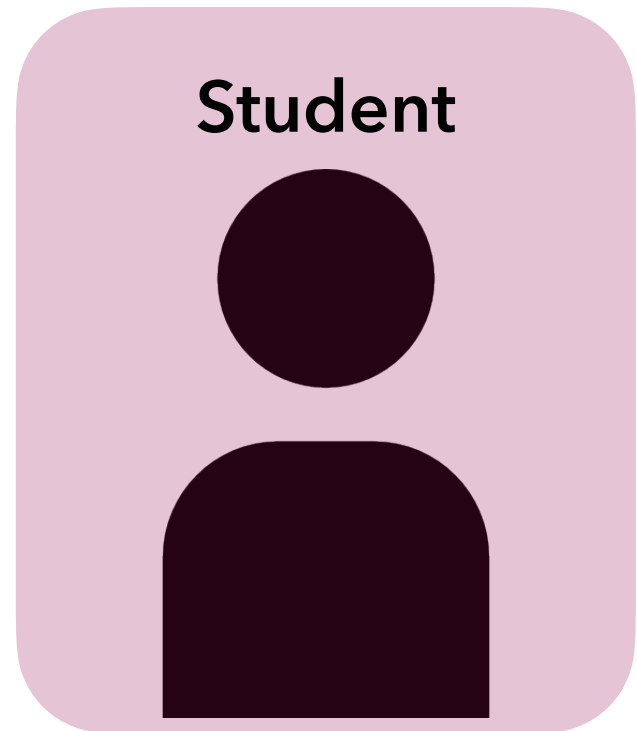
- 1. Invariant: $r_{A_1}(X_j; h) \approx r_{A_2}(X_j; h), \forall j \in [N]$
equally good (or bad) with or without LLM support
- 2. Varying: $r_{A_1}(X_j; h) \leq r_{A_2}(X_j; h)$ and $r_{A_2}(X_k; h) \leq r_{A_1}(X_k; h)$
better for some topics with LLM support
- 3. Strictly Better: $r_{A_1}(X_j; h) \leq r_{A_2}(X_j; h), \forall j \in [N]$
strictly better with (or without) LLM support

Excess loss over optimal loss

MMLU

Algorithm	Invariant	Strictly Better	Varying
H-ONLY	0.01 ± 0.01	0.18 ± 0.17	0.22 ± 0.12
H-LLM	0.01 ± 0.01	0.18 ± 0.21	0.12 ± 0.17
Population	0.00 ± 0.02	0.19 ± 0.07	0.12 ± 0.09
THREAD-LinUCB	0.00 ± 0.01	0.12 ± 0.03	0.07 ± 0.04
THREAD-KNN	0.01 ± 0.01	0.05 ± 0.03	0.05 ± 0.03

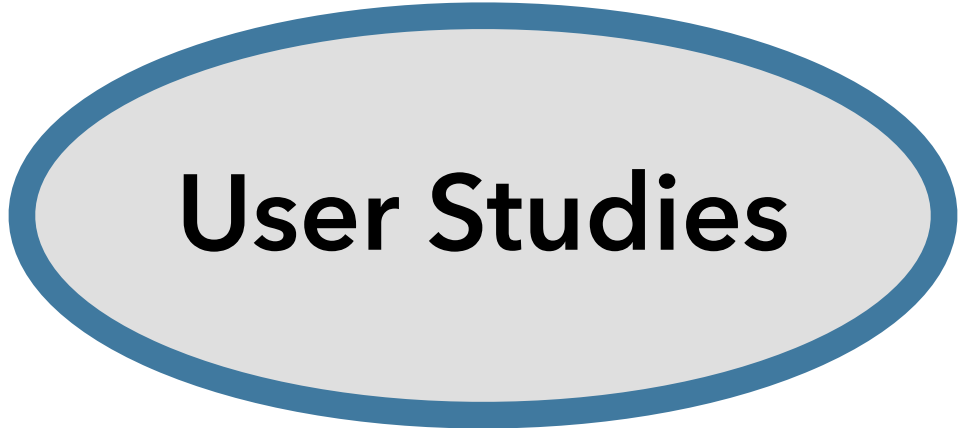
If a decision-maker benefits from having support some of the time, we can learn their policy **online**



Student

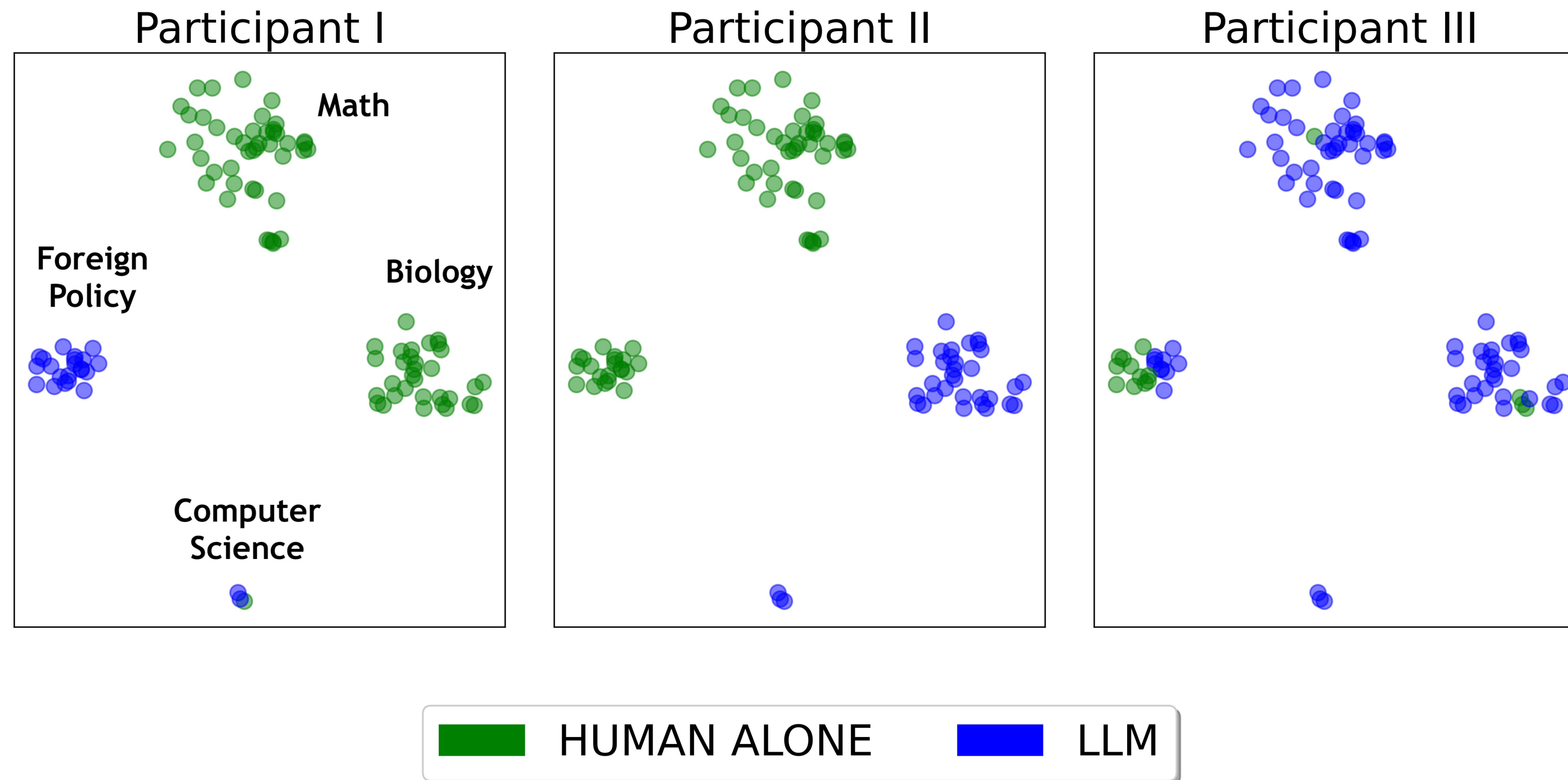
Personalize
Access

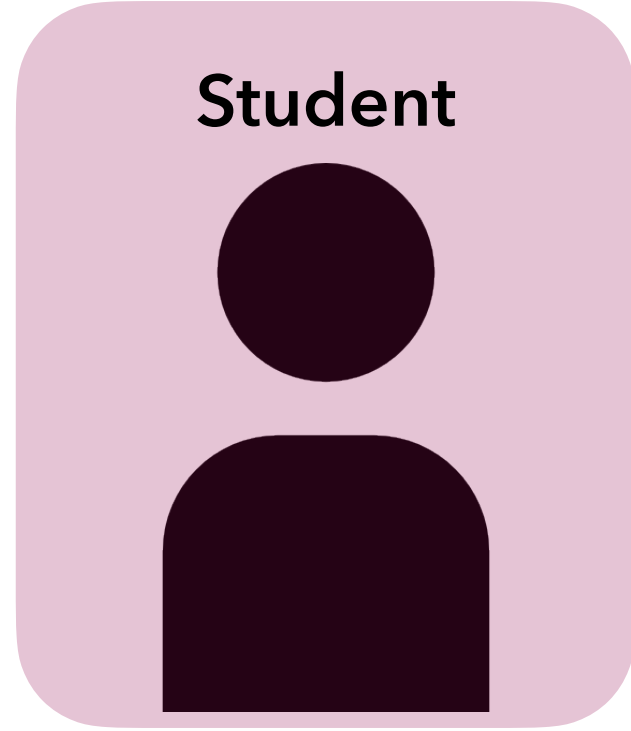
Learning Personalized Decision Support Policies



User Studies

Interactive Evaluation: Users interact with our tool, **Modiste**, which uses THREAD to learn when users require support online.

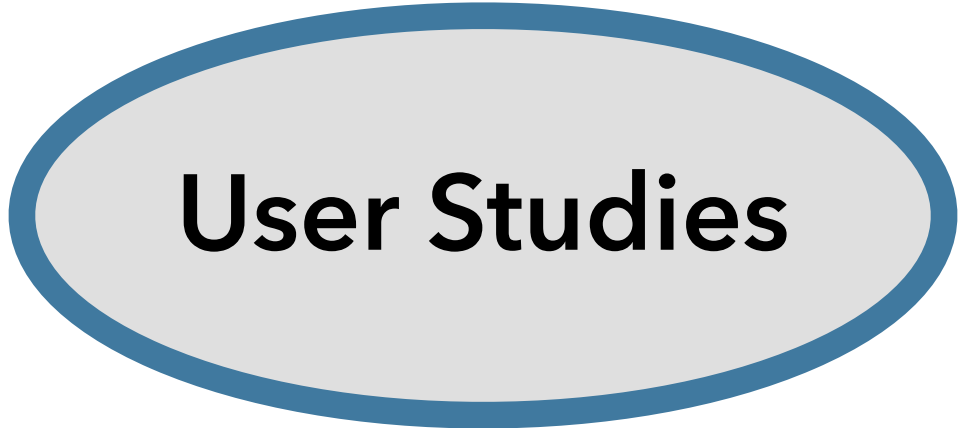




Student

Personalize
Access

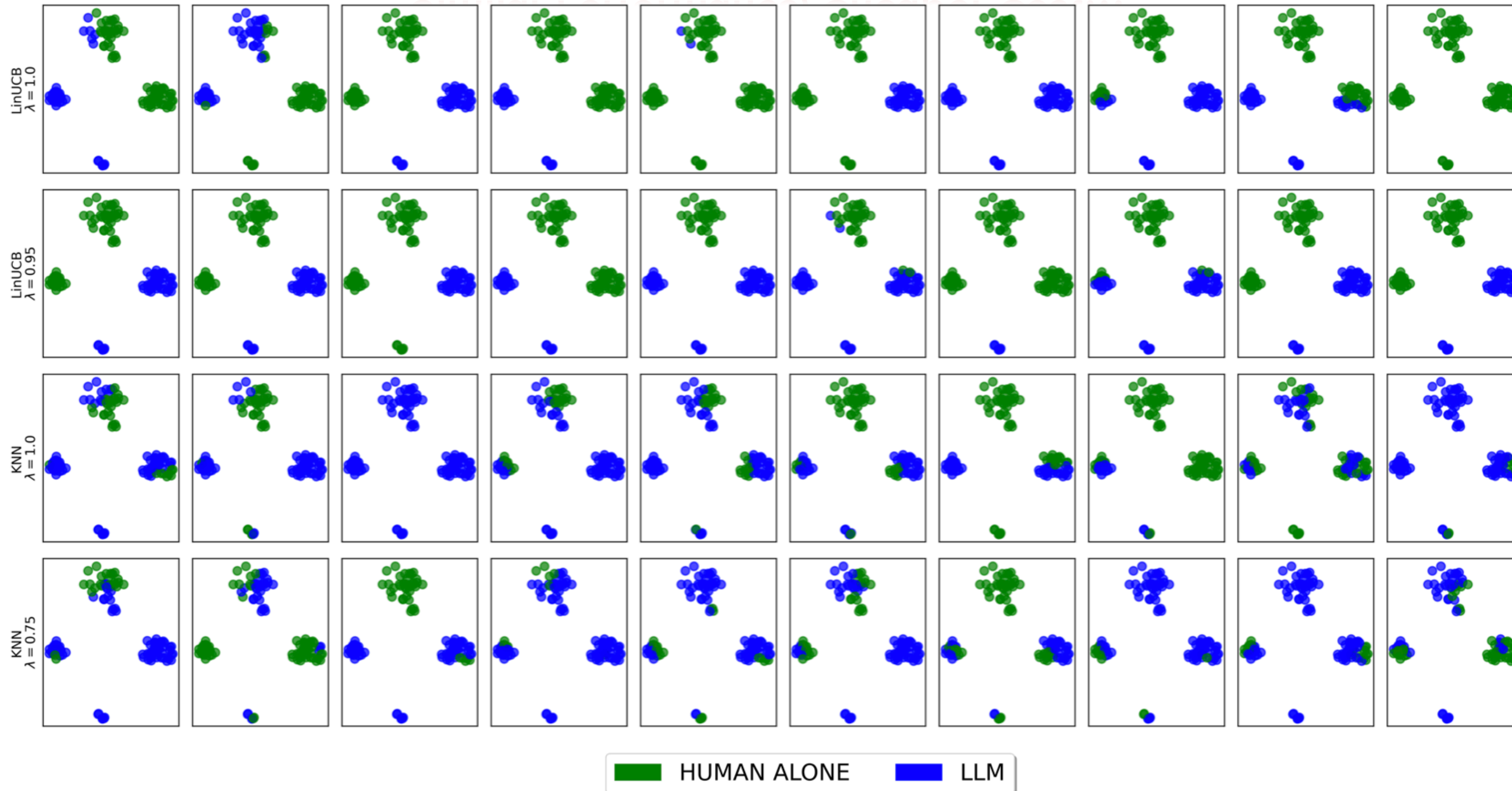
Learning Personalized Decision Support Policies



User Studies

Interactive Evaluation: Users interact with our tool, **Modiste**, which uses THREAD to learn when users require support online.

Similar Performance, Cheaper Cost!!!



Algorithmic resignation is the *deliberate* and *informed* disengagement from AI assistance in certain scenarios.

Algorithmic resignation extends beyond the disuse of AI systems.

It is about embedding **governance** mechanisms directly within AI systems, guiding when and how these systems should be used or abstained from.

B*, Sargeant*. *When Should Algorithms Resign?* IEEE Computer (Forthcoming). 2024.

B*, Chen*, Collins, P. Kamalaruban, Kallina, Weller, Talwalkar. *Learning Personalized Decision Support Policies*. Under Review. 2023.

Benefits of Algorithmic Resignation



Economic Efficiency

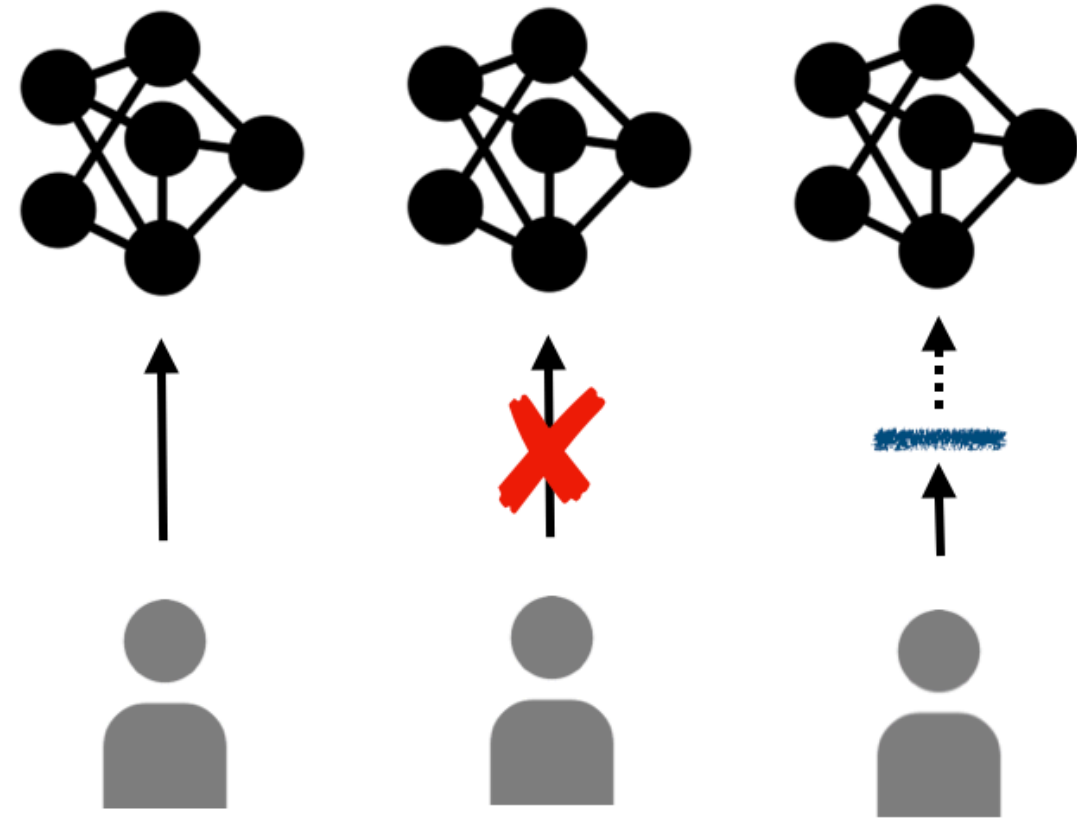


Reputational Gain

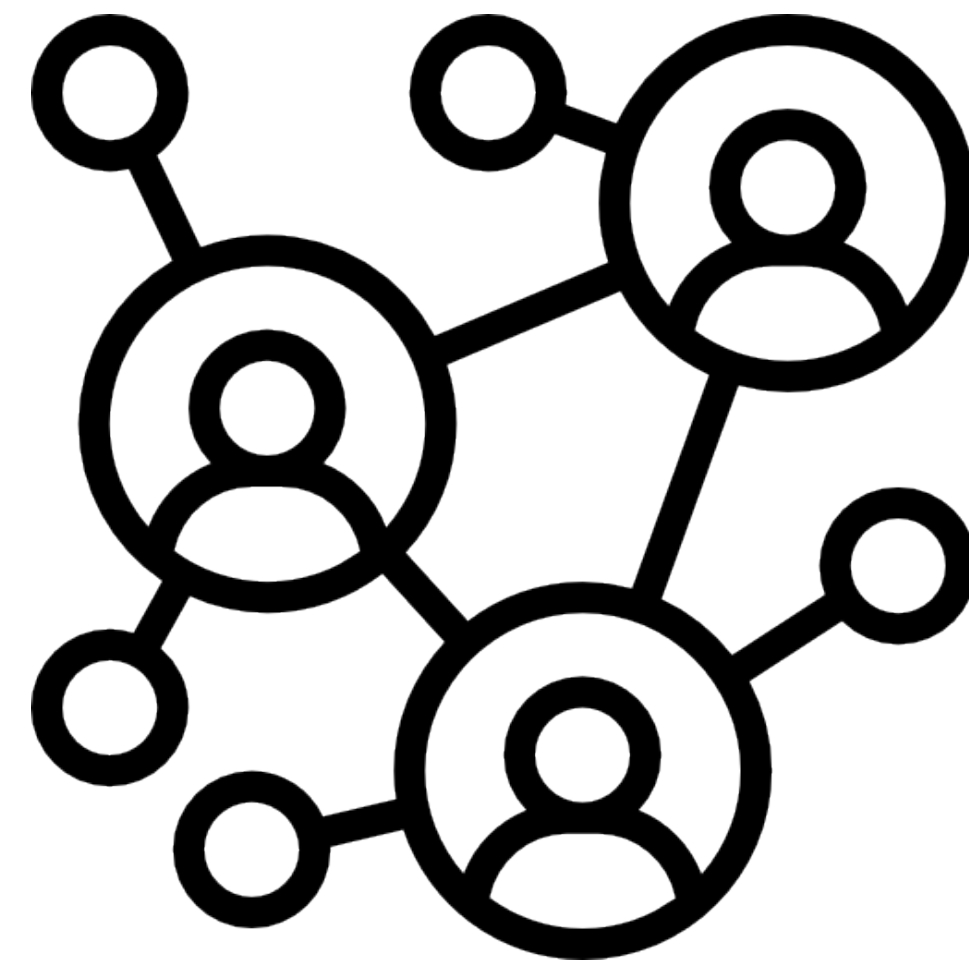


Legal Compliance

Considerations for Algorithmic Resignation



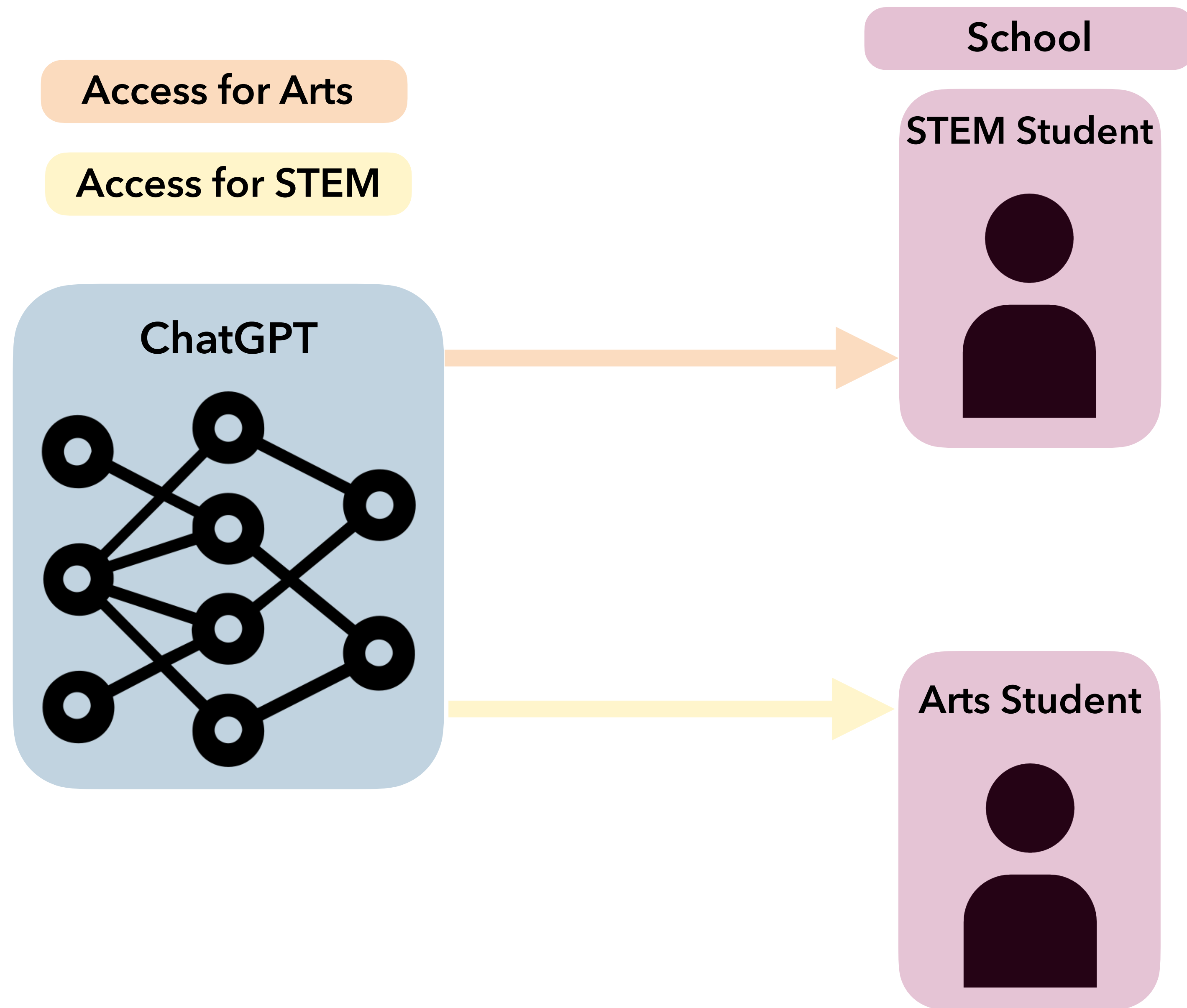
Friction over Resignation



Stakeholder Incentives



Level of Engagement



1. Different students will need **different** levels of support
2. Access to support can be **learned** over a series of interactions
3. Access may be **complementary** to expertise

